

ISL Inani Securities Limited

DECLARATION PURSHUANT TO NSE CIRCULAR BEARING REFERENCE No. NSE/INSP 2006/52 DATED 5TH JULY, 2006, BSE NOTICE BEARING REFERENCE No. 20060704-6 dated 4th July, 2006 & Sebi Notice Bearing reference No. SEBI/MIRSD/DPS-1/CIR-31/2004 DATED AUGUST 26, 2004 AND CIRCULAR No. MIRSD/SE/ CIR-19/2009 DATED DECEMBER 3, 2009.

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FOR OFFICE USE ONLY

In person verification :	
Inani Securities Limited	
Name of Employee : _____	
Designation : _____	
Date : _____	Place : _____
Signature of Employee : _____	

Original Document verification :	
Inani Securities Limited	
Name of Employee : _____	
Designation : _____	
Date : _____	Place : _____
Signature of Employee : _____	

Proprietorship Firm

- 1. All Documents & Annexure as required for "Individual"
- 2. A declaration on the letter head of the firm as per Annexure - 2
- 3. Affix rubberstamp at all places excepts at the botton of the declaration in Annexure - 2
- 4. Running Account Authority letter as per format in Annexure - 1.

Partnership Firm

- 1. Certified true copy of the Partnership Deed.
- 2. Copy of PAN & Address proof of all persons all Partners / Authorised officials .
- 3. A Passport size photograph of all the partners.
- 4. Proof of the Demat Account (it should be joint acccount where all the partners are signatories)
- 5. Copy of last income Tax Return filed.
- 6. Authority letter by all the partners in favour of Managing Partners as per Annexure - 3 & 4
- 7. Affix rubber stamp at appropriate places.
- 8. Running Account Authority Letter as per Annexure - 1.
- 9. List of all partners and their residential address.

HUF

Details required of Karta

- 1. A passport size photograph
- 2. Photocopy of PAN Card Compulsory
- 3. Indentify proof - Photocopy of any one of the following
(i) Passport (ii) Pan Card (iii) Votor ID (iv) Driving License (v) Mapin UID Card.
- 4. Address Proof - Photocopy of any one of Driving License / Passport / Ration Card / Voter Card / Telephone Bill / Electricity
- 5. Copy of PAN of HUF
- 6. Running Account Authority Letter as per Annexure - 1.
- 7. Signature of Karta and all the major co-parceners of the Declaration given in Annexure - 5.
- 8. Proof of Bank Account - (i) Cancelled Original Cheque (ii) Photocopy of Pass book Compulsory

Corporates

- 1. Copy of PAN of the company.
- 2. Copy of PAN / Passport / Voter ID / Ration Card or Driving License of Director / Authorized official.
- 3. Format of Board resolution in case of corporates as per Annexure - 6 & 7
- 4. Certified true copy of balance sheets for last two years (copy of balance sheet to be submitted Every year)
- 5. A Passport size photograph of the Director / Authorized official
- 6. Proof of the Demat Account.
- 7. Verification / Attestation of Signatures of Directors by the Bank.
- 8. Running Account Authority Letter as per Annexure - 1.
- 9. List of all directors and their residential address

KNOW YOUR CLIENT FORM (CORPORATE / FIRM / OTHER)



INANI SECURITIES LIMITED

Regd. & Hyderabad Office : G-15, Raghava Ratna Towers, Chirag Ali Lane,

Hyderabad - 500001 • Tel.: 040-23201279 • Fax : 040-23203747

E-mail : info@inanisec.in • Investors Grievance Email : investors@inanisec.in

Mumbai Office : B/23, 2nd Floor, Wadala Shri Ram Industrial Estate,

G. D. Ambekar Marg, Wadala (West), Mumbai - 400 031.

Tel.: 022-43486000 • Fax : 022-24172111 • E-mail : bby@inanisec.in

SEBI REGISTRATION NO.

NSE : TM Code - 08571 :- INB 230857131 (Cash) / INF 230857131 (F&O) / INE 230857131 (Currency)

BSE : CM Code - 941 :- INB 010857135 (Cash)

Clearing Member for F&O and Currency Segment :- IL&FS Securities Services Ltd. (IL&FS)

NSE : (F&O) : (SEBI Regn. No. INF 231133630) / **NSE : (CDS) :** (SEBI Regn. No. INE 231308334)

The IL&FS Financial Centre, Bandra - Kurla Complex, Bandra (East), Mumbai - 400 051.

Tel.: 022-2653 3333 / 3232 • Fax : 022-2653 3038 • www.ilfsindia.com

ADDITIONAL INFORMATION FOR CORPORATE/FIRM/OTHERS CLIENT REGISTRATION FORM FOR CAPITAL / F&O/CURRENCY

Dear Sir,

We request you to register us as your client and enable us to trade in the Cash/F&O/ currency & Derivative Segment of NSE/BSE pursuant to the Agreement entered into with you. We have read Rules, Bye-laws and Regulations of the Cash/F&O/ Currency & Derivative Segment of NSE/BSE (“the Exchanges”) and agree to abide by them. In this regard, We give the following information:

DETAILS

Name of the Company / Firm/Others :

Date of Incorporation :

Date of Commencement of Business :

Registration Number :

Nature of Business : CIN No.

Place (Registration) : DIN No.

Income Tax Number (PAN) :

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Unique Identification No. :

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CORRESPONDENCE ADDRESS DETAILS

Registered Office Address :

: PIN

--	--	--	--	--	--

Phone No. (with STD Code) : Mobile :

City / State : E-mail :

INTRODUCER'S DETAILS

Name :
Father's Name :
Address :
Signature :
Relationship with applicant : Relationship with TM :

INVESTMENT EXPERIENCE

Investment Experience : No Prior Experience __ Year in Stock __ Year in Derivatives __ Year in any related field

TRADING PREFERENCE

Stock Exchange on which you wish to trade : (Plese tick in the relevant boxes)

NSE _____ ② ✍️ (Pl. Sign.) BSE _____ ③ ✍️ (Pl. Sign.)

Market Segment on which you wish to trade : (Plese tick in the relevant boxes)

Cash Market _____ ④ ✍️ (Pl. Sign.) Derivative Market _____ ⑤ ✍️ (Pl. Sign.)
 Currency Derivatives _____ ⑥ ✍️ (Pl. Sign.)

Details of Actions taken against you by Any authority

Details of your pending grivances with any intermediary

- 1.
- 2.
- 3.

PLEASE SIGN THIS DECLARATION

I hereby declare that all the information and particulars given by me in this application are true to the best of my knowledge and belief. I agree to immediately inform you in writing if there is any change in any of the information given in this application. I also declare and agree that if any of the above statements are found to be incorrect or false or any information or particulars have been suppressed or omitted there from, I am liable to be debarred from doing business both in the Cash/F&O/ Currency Derivative Segment of the Ex-changes. I also agree to furnish such further information as you or the Exchanges may require from me and I agree that if I fail to give such information, you shall have the right to cancel my registration and the exchanges shall have the right to debar me from doing business both in the cash F&O/ Currency Derivative Segments of the Exchanges. I hereby declare that no action has been taken by SEBI/Stock exchange/any other authority for violation of securities laws/ other economic offences.

Name : Signature : ⑦ ✍️
Place : Date :

FOR OFFICE USE ONLY

Unique Client Code :

NAME & DESIGNATION OF THE EMPLOYEE WHO INTERVIEWED THE CLIENT

Name : Designation :Signature.....

ANNEXURE*(Personal Details of authorised Directors / Partners Signatories)*

In Connection with the Client Registration Application Submitted to you
 by _____ of which I am the / a director / partner authorised signatory,
 I furnish you the following personal details relating to me

Affix
 Photograph
 (if client is
 individual)
 Please Sign across
 the Photograph

8

Name of the Director / Partner / Authorised Signatory			
Sex : Male / Female			
Date of Birth :			
Designation			
Address (Residence)			
		Telephone No.	Fax No.
Occupation	Qualifications	Experience	
Equity Stake, Share or other interest in the Company/ firm.			
Income Tax PAN / GIR No.			
Investment Experience			
Years in stocks / -Years in Derivatives	PRIMARY MKT.	SECONDARY MKT.	
Details of Bank A/c.: Name of the Bank			
-Branch (Address, Fax & Tel. No.)			
-Bank Account Number			
Annual Income range :			
Below Rs. 1,00,000/-			
Rs. 1,00,000/- to Rs. 5,00,000/-			
Rs. 5,00,000/- to Rs. 10,00,000/-			
Rs. 10,00,000/- to Rs. 25,00,000/-			
Above Rs. 25,00,000/-			
Whether registered with any other broker - Member (s) : Yes / No.			
If Yes, Name of Member			
Name of Exchange/Client Code No.			

The Information furnished above is true to the best of my knowledge and belief, and I undertake to immediately keep you informed in writing of any changes therein. I also declare and agree that if any of the above statements are found to be incorrect or false or any information or particulars have been suppressed or omitted therefrom, the above mentioned company / firm / and I would be liable to be debarred from doing business both in the Derivatives and Cash segments. I also agree to furnish such further information as the member or the Exchange may require from me and I agree that if I fail to give such information, the member shall have the right to cancel the above mentioned company / firm /s' registration and the Exchange shall have the right to debar the above mentioned company / firm /and me from doing business both in the Derivatives and Cash Segments :

Signature

Date Name

Note : If any information required to be given does not in the form, an annexure may be used.

This agreement is made and executed at this day of....., 20 between **Inani Securities Limited** a body corporate, incorporated under the provisions of the Companies Act, 1956, being a member of the National Stock Exchange of India Ltd. (hereinafter called “the Exchange”), and having its registered office at G-15, Raghavratna Towers, Chirag Ali Lane, Hyderabad - 500001. (hereinafter called “the stock broker”) which expression shall, unless repugnant to the context or meaning thereof, be deemed to mean and include itself in the capacity of a trading member while trading in Cash Market, F&O, Currency and derivatives segment, its executors, administrators and legal representatives, as the case may be, of the One Part;

And

Mr./Ms/M/s
an individual/a sole proprietary concern/a partnership firm/a body corporate, registered/incorporated, under the provisions of the Indian Partnership Act, 1932/The Companies Act, 1956, having his/her/its residence/ registered office at
.....
.....
(hereinafter called “the client”) which expression shall, unless repugnant to the context or meaning thereof, be deemed to mean and include his/her heirs, executors, administrators and legal representatives/the partners for the time being of the said firm, the survivor or survivors of them and their respective heirs, executors, administrators and legal representatives/its successors, as the case may be, of the Other Part;

WHEREAS the trading member is registered as the trading member of the National Stock Exchange of India Ltd. with SEBI registration number INB 230857131 in the Capital Market Segment, SEBI registration number INF 230857131 in the F&O Segment & NSE Currency SEBI Regn. No. INE 230857131

WHEREAS the client is desirous of investing/trading in those securities/ F&O contracts/currency derivatives contracts other instruments admitted to dealings on the Exchange as defined in the Rules, Byelaws and Regulations of the Exchange and circulars issued there under from time to time.

WHEREAS the client has satisfied itself of the capacity of the trading member to deal in securities and/F&O contracts/currency

derivatives contract and wishes to execute its orders through the trading member and the client shall from time to time continue to satisfy itself of such capability of the trading member before executing orders through the trading member.

WHEREAS the trading member has satisfied and shall continuously satisfy itself about the genuineness and financial soundness of the client and investment/trading objectives relevant to the services to be provided; and

WHEREAS the trading member has taken steps and shall take steps to make the client aware of the precise nature of the Trading member’s liability for business to be conducted, including any limitations, the liability and the capacity in which the trading member acts.

WHEREAS the trading member and the client agree to be bound by all the Rules, Bye-laws and Regulations of the Exchange and circulars issued there under and Rules and Regulations of SEBI and relevant notifications of Government authorities as may be in force from time to time.

Now, therefore, in consideration of the mutual understanding as set forth in this agreement, the parties thereto have agreed to the following terms and conditions:

1. The client agrees to immediately notify the trading member in writing if there is any change in the information in the ‘client registration form’ provided by the client to the trading member at the time of opening of the account or at any time thereafter.
2. The trading member declares that it has brought the contents of the risk disclosure document to the notice of client and made him aware of the significance of the said document. The client agrees that:
 - a. He has read and understood the risks involved in trading on a stock exchange.
 - b. He shall be wholly responsible for all his decisions and trades.
 - c. The failure of the client to understand the risk involved shall not render a contract as void or void able and the client shall be and shall continue to be responsible for all the risks and consequences for entering into trades in the segment.
 - d. He is liable to pay applicable initial margins, withholding margins, special margins or such other margins as are considered necessary

- by the trading member or the Exchange or as may be directed by SEBI from time to time as applicable to the segment. The trading member is permitted in its sole and absolute discretion to collect additional margins (even though not required by the Exchange, Clearing House/Clearing Corporation or SEBI) and the client shall be obliged to pay such margins within the stipulated time.
- e. Payment of margins by the client does not necessarily imply complete satisfaction of all dues. In spite of consistently having paid margins, the client may, on the closing of its trade, be obliged to pay (or entitled to receive) such further sums as the contract may dictate/require.
3. The Client agrees to pay to the trading member brokerage and statutory levies as are prevailing from time to time and as they apply to the Client's account, transactions and to the services that trading member renders to the Client. The trading member agrees that it shall not charge brokerage more than the maximum brokerage permissible as per the rules, regulations and Bye-laws of the relevant stock exchange/SEBI.
 4. The client agrees to abide by the exposure limits, if any, set by the trading member or by the Exchange or Clearing Corporation or SEBI from time to time.
 5. Without prejudice to the trading member's other rights (including the right to refer a matter to arbitration), the trading member shall be entitled to liquidate/close out all or any of the client's positions for non-payment of margins or other amounts, outstanding debts, etc. and adjust the proceeds of such liquidation / close out, if any, against the client's liabilities/ obligations. Any and all losses and financial charges on account of such liquidation/closing-out shall be charged to and borne by the client.
 6. The trading member agrees that the money/securities deposited by the client shall be kept in a separate account, distinct from his/its own account or account of any other client and shall not be used by the trading member for himself/itself or for any other client or for any purpose other than the purposes mentioned in SEBI Rules and Regulations circulars/guidelines/Exchanges Rules/Regulations/Bye-laws and circulars.
 7. The client agrees to immediately furnish information to the trading member in writing, if any winding up petition or insolvency petition has been filed or any winding up or insolvency order or decree or award is passed against him or if any litigation which may have material bearing on his capacity has been filed against him.
 8. The trading member agrees to inform the client and keep him apprised about trading/ settlement cycles, delivery/ payment schedules, any changes therein from time to time, and it shall be the responsibility in turn of the client to comply with such schedules/ procedures of the stock exchange.
 9. In the event of death or insolvency of the client or his/ its otherwise becoming incapable of receiving and paying for any contracts which the client has ordered to be bought or sold, or of delivering or transferring securities, the trading member may close out the transaction of the client and claim losses, if any, against the estate of the client. The client or his successors, heirs and assigns shall be entitled to any surplus which may result there from.
 10. The trading member agrees that it shall co-operate in redressing grievances of the client in respect of transactions routed through it and in removing objections for bad delivery of shares, rectification of bad delivery, etc. in respect of shares and securities delivered/ to be delivered or received/to be received by the client.
 11. The trading member shall continue to be responsible for replacing bad deliveries of the client in accordance with applicable "Good & bad delivery norms" even after termination of the agreement and shall be entitled to recover any loss incurred by him in such connection from the client.
 12. The trading member shall ensure due protection to the client regarding client's rights to dividends, rights or bonus shares, etc. in respect of transactions routed through it and it shall not do anything which is likely to harm the interest of the client with whom and for whom it may have had transactions in securities.
 13. The client and the trading member agree to refer any claims and/or disputes to arbitration as per the Rules, Byelaws and Regulations of the Exchange and circulars issued there under as may be in force from time to time.
 14. The trading member hereby agrees that he shall ensure faster settlement of any arbitration proceedings arising out of the transactions entered into between him and the client and that he shall be liable to implement the arbitration awards made in such proceedings.
 15. Information about default in payment/delivery and related aspects by a client shall be brought to the notice of the relevant stock Exchange(s). In case where defaulting client is a corporate entity/partnership/proprietary firm or any other artificial legal entity, then the name(s) of director(s)/ promoter(s)/ Partner(s)/proprietor as the case may be, shall also be communicated to the relevant stock exchange(s).
 16. The trading member and the client agree to reconcile their accounts at the end of each quarter with reference to all the settlements where payouts have been declared during the quarter.
 17. The trading member and the client agree to abide by any award passed by the Ombudsman under the SEBI (Ombudsman) Regulations, 2003.
 18. The trading member and the client declare and agree that the transactions executed on the Exchange are subject to Rules, Byelaws and Regulations and circulars issued there under of the Exchange and all parties to such trade shall have submitted to the jurisdiction of such court as may be specified by the Byelaws and Regulations of the Exchange for the purpose of giving effect to the provisions of the Rules, Byelaws and Regulations of the Exchange and the circulars issued there under.

19. The instructions issued by an authorized representative, if any, of the client shall be binding on the client in accordance with the letter authorizing the said representative to deal on behalf of the said client.
20. Where the Exchange cancels trade(s) suo moto all such trades including the trade/s done on behalf of the client shall ipso facto stand cancelled.
21. This agreement shall forthwith terminate; if the trading member for any reason ceases to be a member of the stock exchange including cessation of membership by reason of the trading member's default, winding up, resignation or expulsion or if the certificate issued by the Board is cancelled.
22. The trading member and the client shall be entitled to terminate this agreement without giving any reasons to the other party, after giving notice in writing of not less than one month to the other parties. Notwithstanding any such termination, all rights, liabilities and obligations of the parties arising out of or in respect of transactions entered into prior to the termination of this agreement shall continue to subsist and vest in /be binding on the respective parties or his / its respective heirs, executors, administrators, legal representatives or successors, as the case may be.
23. In addition to the specific rights set out in this Agreement, the trading member and the client shall be entitled to exercise any other rights which the trading member or the client may have under the Rules, Bye-laws and Regulations of the Exchange and circulars issued there under or Rules and Regulations of SEBI.
24. Words and expressions which are used in this Agreement, but which are not defined herein shall, unless the context

otherwise requires, have the same meaning as assigned thereto in the Rules, Byelaws and Regulations of the Exchange and circulars issued there under.

25. The provisions of this agreement shall always be subject to Government notifications, any rules, regulations, guidelines and circulars issued by SEBI and Rules, Regulations and Bye laws of the relevant stock exchange that may be in force from time to time.
26. The trading member hereby undertakes to maintain the details of the client as mentioned in the client registration form or any other information pertaining to the client in confidence and that it shall not disclose the same to any person/ authority except as required under any law/ regulatory requirements; Provided however that the trading member may so disclose
27. "A supplemental set of ITORS agreement has been attached to this agreement. it contains clauses incidental to the services provided by broker to its online clients. Clie n has being informed and he is aware that he is free to accept and abide by the term and conditions, by signing this agreement.

This agreement will be executed at Hyderabad.


This agreement can be altered amended and / or modified by the parties mutually in writing without derogating from the contents of this Agreement.

Provided, however if the rights and obligation of the parties hereto are altered by virtue of change in Rules and Regulations of the relevant stock exchange, such changes shall be deemed to have been incorporated herein in modification of the rights and obligations of the parties mentioned in this agreement.

IN WITNESS THEREOF the parties to the agreement have caused these presents to be executed as of the day and year first above written.

The Client's Signature/ Authorized Signatory:

For

Signed by :	 _____
Title :	
Name of the client :	
1. Witness' Signature	
Name	
Address	
2. Witness' Signature	
Name	
Address	

Signed by :	For Inani Securities Limited
Title :	Director / Authorised Signatory
Name of the client :	Inani Securities Limited
1. Witness' Signature	
Name	
Address	
2. Witness' Signature	
Name	
Address	

Note: All references to the specific quantity/rate/fee mentioned in this agreement are subject to change from time to time, as so agreed to in writing between the parties.

This agreement is made and executed at this..... day of....., 20 between **Inani Securities Limited** a body corporate, incorporated under the provisions of the Companies Act, 1956, being a member of the Bombay Stock Exchange LTD. (hereinafter called "the Exchange"), and having its registered office at G-15, Raghavratna Towers, Chirag Ali Lane, Hyderabad - 500001. (hereinafter called "the stock broker") which expression shall, unless repugnant to the context or meaning thereof, be deemed to mean and include itself in the capacity of a trading member while trading in the Cash Market segment, its executors, administrators and legal representatives / as the case may be, of the One Part;

And

Mr./Ms/M/s an individual/a sole proprietary concern/a partnership firm/a body corporate, registered/incorporated, under the provisions of the Indian Partnership Act, 1932/The Companies Act, 1956, having his/her/its residence/ registered office at (hereinafter called "the client") which expression shall, unless repugnant to the context or meaning thereof, be deemed to mean and include his/her heirs, executors, administrators and legal representatives/the partners for the time being of the said firm, the survivor or survivors of them and their respective heirs, executors, administrators and legal representatives/its successors, as the case may be, of the Other Part;

WHEREAS the stock broker is registered as the stock broker of the Exchange with SEBI registration number INB 010857135 in the Capital Market/Cash Segment, of the Bombay Stock Exchange Ltd.

WHEREAS the client is desirous of investing/trading in those securities/contracts/other instruments admitted to dealings on the Exchange as defined in the Rules, Byelaws and Regulations of the Exchange and circulars issued there under from time to time.

WHEREAS the client has satisfied itself of the capacity of the stock broker to deal in securities and/or deal in derivatives contracts and wishes to execute its orders through the stock broker and the client shall from time to time continue to satisfy itself of such capability of the stock broker before executing orders through the stock broker.

WHEREAS the stock broker has satisfied and shall continuously satisfy itself about the genuineness and financial soundness of the client and investment objectives relevant to the services to be provided; and

WHEREAS the stock broker has taken steps and shall take steps to make the client aware of the precise nature of the Stock broker liability for business to be conducted, including any limitations the liability and the capacity in which the Stock broker acts.

WHEREAS the Stock broker and the client agree to be bound by all the Rules, Bye-laws and Regulations of the Exchange and circulars issued there under and Rules and Regulations of SEBI and relevant notifications of Government authorities as may be in force from time to time.

Now, therefore, in consideration of the mutual understanding as set forth in this agreement, the parties thereto have agreed to the following terms and conditions:

1. The client agrees to immediately notify the stock broker in writing if there is any change in the information in the 'client registration form' provided by the client to the stock broker at the time of opening of the account or at any time thereafter.
2. The stock broker declares that it has brought the contents of the risk disclosure document to the notice of client and made him aware of the significance of the said document. The client agrees that:
 - a. He has read and understood the risks involved in trading on a stock exchange.
 - b. He shall be wholly responsible for all his decisions and trades.
 - c. The failure of the client to understand the risk involved shall not render a contract as void or voidable and the client shall be and shall continue to be responsible for all the risks and consequences for entering into trades in the segment in which the client chose to trade.
 - d. He is liable to pay applicable initial margins, withholding margins, special margins or such other margins as are considered necessary by the stock broker or the Exchange or as may be directed by SEBI from time to time as applicable to the segment(s) in which the client trades. The stock broker is permitted in its sole and absolute discretion to collect additional margins (even though not required by the Exchange, Clearing House/Clearing Corporation or SEBI) and the client shall be obliged to pay such margins within the stipulated time.
 - e. Payment of margins by the client does not necessarily imply complete satisfaction of all

- dues. In spite of consistently having paid margins, the client may, on the closing of its trade, be obliged to pay (or entitled to receive) such further sums as the contract may dictate/require.
3. The Client agrees to pay to the stock broker brokerage and statutory levies as are prevailing from time to time and as they apply to the Client's account, transactions and to the services that stock broker renders to the Client. The stock broker agrees that it shall not charge brokerage more than the maximum brokerage permissible as per the rules, regulations and Bye-laws of the relevant stock exchange/SEBI.
 4. The client agrees to abide by the exposure limits, if any, set by the stock broker or by the Exchange or Clearing Corporation or SEBI from time to time.
 5. Without prejudice to the stock broker other rights (including the right to refer a matter to arbitration), the stock broker shall be entitled to liquidate/close out all or any of the client's positions for non-payment of margins or other amounts, outstanding debts, etc. and adjust the proceeds of such liquidation / close out, if any, against the client's liabilities/ obligations. Any and all losses and financial charges on account of such liquidation/closing-out shall be charged to and borne by the client.
 6. The stock broker agrees that the money/securities deposited by the client shall be kept in a separate account, distinct from his/its own account or account of any other client and shall not be used by the stock broker for himself/itself or for any other client or for any purpose other than the purposes mentioned in SEBI Rules and Regulations circulars/guidelines/Exchanges Rules/Regulations/Bye-laws and circulars.
 7. The client agrees to immediately furnish information to the stock broker in writing, if any winding up petition or insolvency petition has been filed or any winding up or insolvency order or decree or award is passed against him or if any litigation which may have material bearing on his capacity has been filed against him.
 8. The stock broker agrees to inform the client and keep him apprised about trading/ settlement cycles, delivery/ payment schedules, any changes therein from time to time, and it shall be the responsibility in turn of the client to comply with such schedules/ procedures of the stock exchange.
 9. In the event of death or insolvency of the client or his/ its otherwise becoming incapable of receiving and paying for any contracts which the client has ordered to be bought or sold, or of delivering or transferring securities, the trading member may close out the transaction of the client and claim losses, if any, against the estate of the client. The client or his successors, heirs and assigns shall be entitled to any surplus which may result there from.
 10. The stock broker agrees that it shall co-operate in redressing grievances of the client in respect of transactions routed through it and in removing objections for bad delivery of shares, rectification of bad delivery, etc. in respect of shares and securities delivered/ to be delivered or received/to be received by the client.
 11. The stock broker shall continue to be responsible for replacing bad deliveries of the client in accordance with applicable "Good & bad delivery norms" even after termination of the agreement and shall be entitled to recover any loss incurred by him in such connection from the client.
 12. The stock broker shall ensure due protection to the client regarding client's rights to dividends, rights or bonus shares, etc. in respect of transactions routed through it and it shall not do anything which is likely to harm the interest of the client with whom and for whom it may have had transactions in securities.
 13. The client and the stock broker agree to refer any claims and/or disputes to arbitration as per the Rules, Byelaws and Regulations of the Exchange and circulars issued there under as may be in force from time to time.
 14. The stock broker hereby agrees that he shall ensure faster settlement of any arbitration proceedings arising out of the transactions entered into between him and the client and that he shall be liable to implement the arbitration awards made in such proceedings.
 15. Information about default in payment/delivery and related aspects by a client shall be brought to the notice of the relevant stock Exchange(s). In case where defaulting client is a corporate entity/partnership/proprietary firm or any other artificial legal entity, then the name(s) of director(s)/ promoter(s)/ Partner(s)/proprietor as the case may be, shall also be communicated to the relevant stock exchange(s).
 16. The stock broker and the client agree to reconcile their accounts at the end of each quarter with reference to all the settlements where payouts have been declared during the quarter.
 17. The stock broker and the client agree to abide by any award passed by the Ombudsman under the SEBI (Ombudsman) Regulations, 2003.
 18. The stock broker and the client declare and agree that the transactions executed on the Exchange are subject to Rules, Byelaws and Regulations and circulars issued there under of the Exchange and all parties to such trade shall have submitted to the jurisdiction of such court as may be specified by the Byelaws and Regulations of the Exchange for the purpose of giving effect to the provisions of the Rules, Byelaws and Regulations of the Exchange and the circulars issued there under.

19. The instructions issued by an authorized representative, if any, of the client shall be binding on the client in accordance with the letter authorizing the said representative to deal on behalf of the said client.
20. Where the Exchange cancels trade(s) suo moto all such trades including the trade/s done on behalf of the client shall ipso facto stand cancelled.
21. This agreement shall forthwith terminate; if the stock broker for any reason ceases to be a member of the stock exchange including cessation of membership by reason of the stock broker default, winding up, resignation or expulsion or if the certificate issued by the Board is cancelled.
22. The stock broker and the client shall be entitled to terminate this agreement without giving any reasons to the other party, after giving notice in writing of not less than one month to the other parties. Notwithstanding any such termination, all rights, liabilities and obligations of the parties arising out of or in respect of transactions entered into prior to the termination of this agreement shall continue to subsist and vest in /be binding on the respective parties or his / its respective heirs, executors, administrators, legal representatives or successors, as the case may be.
23. In addition to the specific rights set out in this Agreement, the stock broker and the client shall be entitled to exercise any other rights which the stock broker or the client may have under the Rules, Bye-laws and Regulations of the Exchange and circulars issued there under or Rules and Regulations of SEBI.
24. Words and expressions which are used in this Agreement, but which are not defined herein shall, unless the context otherwise requires, have the same meaning as assigned

thereto in the Rules, Byelaws and Regulations of the Exchange and circulars issued there under.


25. The provisions of this agreement shall always be subject to Government notifications, any rules, regulations, guidelines and circulars issued by SEBI and Rules, Regulations and Bye laws of the relevant stock exchange that may be in force from time to time.
26. The stock broker hereby undertakes to maintain the details of the client as mentioned in the client registration form or any other information pertaining to the client in confidence and that it shall not disclose the same to any person/ authority except as required under any law/ regulatory requirements; Provided however that the stock broker may so disclose
27. A supplemental set of ITORS agreement has been attached to this agreement. it contains clauses incidental to the services provided by broker to its online clients. Client has been informed and he is aware that he is free to accept and abide by the term and conditions, by signing this agreement.

This agreement will be executed at Hyderabad.

This agreement can be altered amended and / or modified by the parties mutually in writing without derogating from the contents of this Agreement. Provided, however if the rights and obligation of the parties hereto are altered by virtue of change in Rules and Regulations of the relevant stock exchange, such changes shall be deemed to have been incorporated herein in modification of the rights and obligations of the parties mentioned in this agreement.

The Client's Signature/ Authorized Signatory :

For

Signed by :	
Title :	
Name of the client :	
1. Witness' Signature	
Name	
Address	
2. Witness' Signature	
Name	
Address	

Signed by :	For Inani Securities Limited
Title :	Director / Authorised Signatory
Name of the client :	Inani Securities Limited
1. Witness' Signature	
Name	
Address	
2. Witness' Signature	
Name	
Address	

Note: All references to the specific quantity/rate/fee mentioned in this agreement are subject to change from time to time, as so agreed to in writing between the parties.

This document is issued by the member of the National Stock Exchange of India (hereinafter referred to as "NSE") / The Bombay Stock Exchange Ltd. (hereinafter referred to as "BSE") which has been formulated by the Exchanges in coordination with the Securities and Exchange Board of India (hereinafter referred to as "SEBI") and contains important information on trading in Equities and F&O, Currency & Derivative Segments of NSE / BSE. All prospective constituents should read this document before trading on Capital Market/Cash/Currency Derivative Segment or F&O segment of the Exchanges.

NSE/BSE/SEBI does neither singly or jointly and expressly nor impliedly guarantee nor make any representation concerning the completeness, the adequacy or accuracy of this disclosure document nor has NSE/BSE/SEBI endorsed or passed any merits of participating in the trading segments. This brief statement does not disclose all the risks and other significant aspects of trading.

In the light of the risks involved, you should undertake transactions only if you understand the nature of the contractual relationship into which you are entering and the extent of your exposure to risk.

You must know and appreciate that investment in Equity shares, derivative or other instruments traded on the Stock Exchange(s), which have varying element of risk, is generally not an appropriate avenue for someone of limited resources/limited investment and/ or trading experience and low risk tolerance. You should therefore carefully consider whether such trading is suitable for you in the light of your financial condition. In case you trade on NSE/BSE and suffer adverse consequences or loss, you shall be solely responsible for the same and NSE/BSE, its Clearing Corporation/Clearing House and/or SEBI shall not be responsible, in any manner whatsoever, for the same and it will not be open for you to take a plea that no adequate disclosure regarding the risks involved was made or that you were not explained the full risk involved by the concerned member. The constituent shall be solely responsible for the consequences and no contract can be rescinded on that account. You must acknowledge and accept that there can be no guarantee of profits or no exception from losses while executing orders for purchase and/or sale of a security or derivative being traded on NSE/BSE.

It must be clearly understood by you that your dealings on NSE/ BSE through a member shall be subject to your fulfilling certain formalities set out by the member, which may enterally include your filling the know your client form, client registration form, execution of an agreement, etc., and are subject to the Rules, Byelaws and Regulations of NSE/BSE and its Clearing Corporation, guidelines prescribed by SEBI and in force from time to time and Circulars as may be issued by NSE/BSE or its Clearing Corporation/Clearing House and in force from time to time..NSE/BSE does not provide or purport to provide any advice and shall not be liable to any person who enters

into any business relationship with any trading member and/or sub-broker of NSE/BSE and/or any third party based on any information contained in this document., Any information contained in this document must not be construed as business advice/investment advice. No consideration to trade should be made without thoroughly understanding and reviewing the risks involved in such trading. If you are unsure, you must seek professional advice on the same.

In considering whether to trade or authorize someone to trade for you, you should be aware of or must get acquainted with the following: -

1. BASIC RISKS INVOLVED IN TRADING ON THE STOCK EXCHANGE (EQUITY AND OTHER INSTRUMENTS)

1.1 Risk of Higher Volatility :

Volatility refers to the dynamic changes in price that securities undergo when trading activity continues on the Stock Exchange. Generally, higher the volatility of a security/contract, greater is its price swings. There may be normally greater volatility in thinly traded securities contracts than in active securities/contracts. As a result of volatility, your order may only be partially executed or not executed at all, or the price at which your order got executed may be substantially different from the last traded price or change substantially thereafter, resulting in notional or real losses.

1.2 Risk of Lower Liquidity :

Liquidity refers to the ability of market participants to buy and/or sell securities / contracts expeditiously at a competitive price and with minimal price difference. Generally, it is assumed that more the numbers of orders available in a market, greater is the liquidity. Liquidity is important because with greater liquidity, it is easier for investors to buy and/or sell securities / contract swiftly and with minimal price difference, and as a result, investors are more likely to pay or receive a competitive price for securities / contracts purchased or sold. There may be a risk of lower liquidity in some securities contracts as compared to active securities / contracts. As a result, your order may only be partially executed, or may be executed with relatively greater price difference or may not be executed at all.

- 1.2.1 Buying/selling without intention of giving and / or taking delivery of a security, as part of a day trading strategy, may also result into losses, because in such a situation, stocks may have to be sold/purchased at a low/high

prices, compared to the expected price levels, so as not to have any obligation to deliver/receive a security.

1.3 Risk of Wider Spreads :

Spread refers to the difference in best buy price and best sell price. It represents the differential between the price of buying a security and immediately selling it or vice versa. Lower liquidity and higher volatility may result in wider than normal spreads for less liquid or illiquid securities / contracts. This in turn will hamper better price formation.

1.4 Risk-reducing orders:

Most Exchanges have a facility for investors to place “limit orders”, “stop loss orders” etc.”. The placing of such orders (e.g., “stop loss” orders, or “limit” orders) which are intended to limit losses to certain amounts may not be effective many a time because rapid movement in market conditions may make it impossible to execute such orders.

1.4.1 A “market” order will be executed promptly, subject to availability of orders on opposite side, without regard to price and that, while the customer may receive a prompt execution of a “market” order, the execution may be at available prices of outstanding orders, which satisfy the order quantity, on price time priority, it may be understood that these prices may be significantly different from the last traded price or the best price in that security.

1.4.2 A limit” order will be executed only at the “limit” price specified for the order or a better price. However, while the customer receives price protection, there is a possibility that the order may not be executed at all.

1.4.3 A stop loss order is generally placed “away” from the current price of a stock / contract, and such order gets activated if and when the stock / contract reaches, or trades through, the stop price. Sell stop orders are entered ordinarily below the current price, and buy stop orders are entered ordinarily above the current price. When the stock reaches the pre-determined price, or trades through such price, the stop loss order converts to a market/limit order and is executed at the limit or better. There is no assurance therefore that the limit order will be executable since a stock / contract might penetrate the pre-determined price, in which case, the risk of such order not getting executed arises, just as with a regular limit order.

1.5 Risk of News Announcements :

Issuers make news announcements that may impact the price of the securities / contracts. These announcements may occur during trading, and when combined with lower liquidity and higher volatility, may suddenly cause an unexpected positive or negative movement in the price of the security / contract.

1.6 Risk of Rumors :

Rumors about companies at times float in the market through word of mouth, newspapers, websites or news agencies, etc. The investors should be wary of and should desist from acting on rumors.

1.7 System Risk :

High volume trading will frequently occur at the market opening and before market close. Such high volumes may also occur at any point in the day. These may cause delays in order execution or confirmation.

1.7.1 During periods of volatility, on account of market participants continuously modifying their order quantity or prices or placing fresh orders, there may be delays in order execution and its confirmations.

1.7.2 Under certain market conditions, it may be difficult or impossible to liquidate a position in the market at a reasonable price or at all, when there are no outstanding orders either on the buy side or the sell side, or if trading is halted in a security due to any action on account of unusual trading activity or stock hitting circuit filters or for any other reason.

1.8 System/Network Congestion :

Trading on NSE/BSE is in electronic mode, based on satellite/leased line based communications, combination of technologies and computer systems to place and route orders. Thus, there exists a possibility of communication failure or system problems or slow or delayed response from system or trading halt, or any such other problem/glitch whereby not being able to establish access to the trading system/network, which may be beyond the control of and may result in delay in processing or not processing buy or sell orders either in part or in full. You are cautioned to note that although these problems may be temporary in nature, but when you have outstanding open positions or unexecuted orders, these represent a risk because of your obligations to settle all executed transactions.

2. **As far as Futures and Options segment is concerned, please note and get yourself acquainted with the following additional features :-**

2.1 Effect of “Leverage” or “Gearing”

The amount of margin is small relative to the value of the derivatives contract so the transactions are leveraged’ or geared. Derivatives trading, which is conducted with a relatively small amount of margin, provides the possibility of great profit or loss in comparison with the principal investment amount. But transactions in derivatives carry a high degree of risk. You should therefore completely understand the following statements before actually trading in derivatives trading and also trade with caution while taking into account one’s circumstances, financial resources, etc. If the prices move against you, you may lose a part of or whole margin equivalent to the principal investment amount in a relatively short period of time. Moreover, the loss may exceed the original margin amount.

- A. Futures trading involves daily settlement of all positions. Every day the open positions are marked to market based on the dosing level of the index. If the index has moved against you, you will be required to deposit the amount of loss (notional) resulting from such movement. This margin will have to be paid within a stipulated time frame, generally before commencement of trading next day.
- B. If you fail to deposit the additional margin by the deadline or if an outstanding debt occurs in your account, the broker/member may liquidate a part of or the whole position or substitute securities. In this case, you will be liable for any losses incurred due to such close-outs.
- C. Under certain market conditions, an investor may find it difficult or impossible to execute transactions. For example, this situation can occur due to factors such as illiquidity i.e. when there are insufficient bids or offers or suspension of trading due to price limit or circuit breakers etc.
- D. In order to maintain market stability, the following steps may be adopted: changes in the margin rate, increases in the cash margin rate or others. These new measures may also be applied to the existing open interests. In such conditions, you will be required to put up additional margins or reduce your positions.

- E. You must ask your broker to provide the full details of the derivatives contracts you plan to trade i.e. the contract specifications and the associate obligations.

2.2 Currency specific risks

- 1. The profit or loss in transactions in foreign currency denominated contracts, whether they are traded in your own or another jurisdiction, will be affected by fluctuations in currency rates where there is a need to convert from the currency denomination of contract to another currency.
- 2. Under certain market conditions, you may find it difficult or impossible to liquidate a position, can occur, for example when a currency deregulated or fixed trading bands are widened.
- 3. Currency prices are highly volatile. Price movements for currencies are influenced by, among other things changing supply-demand relationships; trade, fiscal monetary, exchange control programs and policies of governments; foreign political and economic events and policies; changes in national international interest rates and inflation; currency devaluation; and sentiment of the market place. None of these factors can be controlled by individual advisor and no assurance can be given that an advisor’s advice will result in profitable trades for a participating customer or that a customer will not incur losses from such events.

2.3. Risk of Option holders

- 1. An option holder runs the risk of losing the amount paid for the option in a relatively short period of time. This risk reflects the nature of an option wasting asset which becomes worthless when expires. An option holder who neither sells his option in the secondary market nor exercises it prior to its expiration will necessarily lose his entire investment in the option. If the price of the underlying does not change in the anticipated direction before the option expires to an extent sufficient to cover the cost of the option, the investor may lose all or a significant part of his investment in the option.
- 2. The Exchange may impose exercise restrictions and have absolute authority to restrict the exercise of options at certain times in specified circumstances.

2.4 Risks of Option Writers

1. If the price movement of the underlying is not in the anticipated direction, the option writer runs the of losing substantial amount.
2. The risk of being an option writer may be reduced by the purchase of other options on the same underlying interest and thereby assuming a spread position or by acquiring other types of hedging positions in the options markets or other markets. However, even where the writer has assumed a spread or other hedging position, the risks may still be significant. A spread position is not necessarily less risky than a simple long' or 'short' position.
3. Transactions that involve buying and writing multiple options in combination, or buying or writing options in combination with buying or selling short the underlying interests, present additional risks to investors. Combination transactions, such as option spreads, are more complex than buying or writing a single option. And it should be further noted that, as in any area of investing, a complexity not well understood is, in itself, a risk factor. While this is not to suggest that combination strategies should not be considered, it is advisable, as is the case with all investments in options, to consult with someone who is experienced and knowledgeable with respect to the risks and potential rewards of combination transactions under various market circumstances.

3. GENERAL

3.1 Commission and other charges

Before you begin to trade, you should obtain a clear explanation of all commission, fees and other charges for which you will be liable. These charges will affect your net profit (if any) or increase your loss.

3.2 Deposited cash and property

You should familiarise yourself with the protections accorded to the money or other property you deposit particularly in the event of a firm insolvency or bankruptcy. The extent to which you may recover your money or property may be governed by specific legislation or local rules. In some jurisdictions, property which has been specifically identifiable as your own will be pro-rated in the same manner as cash for purposes of distribution in the event of a shortfall. In case of any dispute with the member, the same shall be subject to arbitration as per the byelaws/ regulations of the Exchange.

3.3 For rights and obligations of the clients, please refer to Annexure-1 enclosed with this document.

3.4 The term 'constituent' shall mean and include a client, a customer or an investor, who deals with a member for the purpose of acquiring and/or selling of securities through the mechanism provided by NSE/BSE.

3.5 The term 'member' shall mean and include a trading member, a broker or a stock broker, who has been admitted as such by NSE/BSE and who holds a registration certificate as a stock broker from SEBI.

I hereby acknowledge that I have received and understood this risk disclosure statement and Annexure-1 containing my rights and obligations.

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Client Signature

(If Partner, Corporate, or other Signatory, then attest with company seal.)

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- 1.1 You should familiarise yourself with the protection accorded to the money or other property you may deposit with your member, particularly in the event of a default in the stock market or the broking firm's insolvency or bankruptcy.
- 1.1.1 Please ensure that you have a documentary proof of your having made deposit of such money or property with the member, stating towards which account such money or property deposited.
- 1.1.2 Further, it may be noted that the extent to which you may recover such money or property may be governed by the Bye-laws and Regulations of NSE/ BSE and the scheme of the Investors' Protection Fund in force from time to time.
- 1.1.3 Any dispute with the member with respect to deposits, margin money, etc., and producing an appropriate proof thereof, shall be subject to arbitration as per the Rules, Byelaws/Regulations of NSE/BSE or its Clearing Corporation / Clearing House.
- 1.2 Before you begin to trade, you should obtain a clear idea from your member of all brokerage, commissions, fees and other charges which will be levied on you for trading. These charges will affect your net cash inflow or outflow.
- 1.3 You should exercise due diligence and comply with the following requirements of the NSE/BSE and/or SEBI:
- 1.3.1 Please deal only with and through SEBI registered members of the Stock Exchange and are enabled to trade on the Exchange. All SEBI registered members are given a registration no., which may be verified from SEBI. The details of all members of NSE/ BSE and whether they are enabled to trade may be verified from NSE/ BSE website (www.nseindia.com / www.bseindia.com).
- 1.3.2 Demand any such information, details and documents from the member, for the purpose of verification, as you may find it necessary to satisfy yourself about his credentials.
- 1.3.3 Furnish all such details in full as are required by the member as required in "Know Your Client" form, which may also include details of PAN or Passport or Driving Licence or Voters Id, or Ration Card, bank account and depository account, or any such details made mandatory by SEBI/NSE/BSE at any time, as is available with the investor.
- 1.3.4 Execute a broker-client agreement in the form prescribed by SEBI and/or the Relevant Authority of NSE/BSE or its Clearing Corporation Clearing House from time to time, because this may be useful as a proof of your dealing arrangements with the member.
- 1.3.5 Give any order for buy or sell of a security in writing or in such form or manner, as may be mutually agreed. Giving instructions in writing ensures that you have proof of your intent, in case of disputes with the member.
- 1.3.6 Ensure that a contract note is issued to you by the member which contains minute records of every transaction. Verify that the contract note contains details of order no., trade number, trade time, trade price, trade quantity, name of security, client code allotted to you and showing the brokerage separately. Contract notes are required to be given / sent by the member to the investors latest on the next working day of the trade. Contract note can be issued by the member either in electronic form using digital signature as required, or in hard copy. In case, you do not receive a contract note on the next working day or at a mutually agreed time, please get in touch with the Investors Grievance Cell of NSE/BSE, without delaying.
- 1.3.7 Facility of Trade Verification is available on NSE BSE website (www.nse-india.com www.bseindia.com), where details of trade as mentioned in the contract not may be verified from the trade date upto five trading days. Where trade details on the website, do not tally with the details mentioned in the contract not immediately get in touch with the Investor Grievance Cell of NSE/BSE.
- 1.3.8 Ensure that payment/delivery of securities against settlement is given to the concerned member within one working day prior to the date pay-in of announced by NSE/BSE or its Clearing Corporation / Clearing House. Payments should be made only by account payee cheque in favour of the firm/company of the trading member and a receipt or

acknowledgement towards what such payment is made be obtained from the member. Delivery of securities is made to the pool account of the member rather than to the beneficiary account of the member.

- 1.3.9 In case pay-out of money and/or securities is not received on the next working day after date of payout announced by NSE/BSE or its Clearing Corporation / Clearing House, please follow-up with the concerned member for its release. In case pay-out is not released as above from the member within five working days, ensure that you lodge a complaint immediately with the Investors Grievance Cell of NSE/BSE.
- 1.3.10 Every member is required to send a complete 'Statement of Accounts', for both funds and securities settlement to each of its constituents, at such periodicity as may be prescribed by time to time. You should report errors, if any, in the Statement immediately, but not later than 30 calendar days of receipt thereof, to the member. In case the error is not rectified or there is a dispute, ensure that you refer such matter to the Investors Grievance Cell of NSE/BSE, without delaying.
- 1.3.11 In case of a complaint against a member/ registered sub-broker, you should address the complaint to the Office as may be specified by NSE/BSE from time to time.
- 1.4 In case where a member surrenders his membership, NSE/ BSE gives a public notice inviting claims, if any, from investors. In case of a claim, relating to Transactions executed on the trading system" of NSE/BSE, ensure that you lodge a claim with NSE/ BSE/NSCCL Clearing House within the stipulated

period and with the supporting documents.

- 1.5 In case where a member is expelled from trading membership or declared a defaulter; NSE/BSE gives a public notice inviting claims, if any, from investors. In case of a claim, relating to "transactions executed on the trading system" of NSE/BSE, ensure that you lodge a claim with NSE/ BSE within the stipulated period and with the supporting documents.
- 1.6 Claims against a defaulter/expelled member found to be valid as prescribed in the relevant Rules/Bye-laws and the scheme under the Investors Protection Fund (IPF) may be payable first out of the amount vested in the Committee for Settlement of Claims against Defaulters, on pro-rata basis if the amount is inadequate. The balance amount of claims, if any, to a maximum amount of Rs.10 lakhs per investor claim, per defaulter/expelled member may be payable subject to such claims being found payable under the scheme of the IPF.

Notes :

1. The term 'constituent' shall mean and include a client, a customer or an investor, who deals with a trading member of NSE/ BSE for the purpose of acquiring and / or selling of securities through the mechanism provided by NSE/ BSE.
2. The term 'member' shall mean and include a member or a broker or a stock broker, who has been admitted as such by NSE/ BSE and who holds a registration certificate as a stock broker from SEBI.
3. NSE/BSE may be substituted with names of the relevant exchanges, wherever applicable.

- 1. Refusal of order for Penny Stocks:** Inani Securities Limited (ISL) shall have the absolute discretion to accept, refuse or partially accept any buy or sell order for execution from a client in respect of penny stocks, illiquid stocks, stocks having low liquidity, options, far month options, writing of options, stocks in B.S and Z category and any other contracts / shares which are extremely volatile or subject to Market manipulation.

ISL may permit restrictive acceptance of orders in such scrips / contracts in controlled environments like orders received from clients being forwarded by branches / sub brokers to HO instead of allowing them at branch / sub broker level. ISL may cancel orders in such scrip's received from clients before execution or after partial execution without assigning any reasons there of. ISL may take appropriate declarations from the clients before accepting such orders. ISL shall not be responsible for delay in execution of such orders and any opportunity / financial loss to the client.

- 2. Setting up Clients' Exposure Limits:** ISL may have its own policy to allow differential purchase limits and sell limits varying from client to client, depending upon credit worthiness, integrity and past trading record of each client and volatility in the market which may vary from time to time.

ISL may provide an exposure limit for intra day and delivery to a client which would be multiple of the clear ledger balance in the account of the client along with collateral values after appropriate haircut. The value of multiple and haircut shall be decided as per Market volatility, quality of collaterals, credit worthiness, integrity and past trading record of each client which may vary from client to client and from time to time.

ISL may provide a sell limit to the client equivalent to the value of securities held by the client in his POA enabled Demat account with our CDSL DP and the collaterals held with us in our Beneficiary / Margin account on behalf of clients after making appropriate adjustments for the unsettled delivery positions of the client.

ISL may provide Exposure for Futures & Options writing in Derivatives segment based on availability of initial Margin (Span + MTM) in the form of clear ledger balance. Considering collaterals after appropriate haircut is at the sole discretion of Inani Securities Limited. However, the buying of Options in Derivatives segment may be allowed on the premium value against the clear ledger balance available in Client's account.

- 3. Applicable Brokerage rates:** Brokerage shall be applied as per the rates agreed upon with the client in the KYC at the time of registration of the client subsequently through a written agreement between the client and ISL. The rate of Brokerage shall not exceed the maximum brokerage permissible under Exchange bye laws.

The slab rates of brokerage fixed by us are function of the quality and cost of services provided to the client and the volume and revenue expected from an account. It shall be reviewed by us from time to time and may be increased with prospective effect at a notice of 15 days sent to the email address or postal address of the client registered with us.

The brokerage shall however be exclusive of DP Charges, Account opening charges, delayed payment charges, service tax, penalties levied by Exchanges, courier charges, bank charges towards cheque bounces and SEBI / Exchange / CM turnover or any other charges levied by regulatory and statutory bodies from time to time.

- 4. Imposition of penalty / delayed payment charges by either party, specifying the rate and the period.:**

As per the Exchange bye laws, the Member has to make pay in of funds to the Exchange by T+2 morning and arrange delivery of securities to the Exchange latest by T+2 morning. Further Member broker is also required to maintain adequate upfront margins with the exchange to avail exposure for trading. The exchanges have also defined the ratios in which the cash and collaterals are to be maintained by the Member broker. In order to

manage its operations ISL requires full co-operation of the clients in meeting their respective obligation towards pay in and margins.

ISL is therefore authorized by the client to charge a delayed payment penalty not exceeding 2% per month pro-rata basis, on account of delays/ failure by the client in meeting the pay in obligations on the scheduled date in both Cash & F&O Segment. While levying delayed payment charges in the running account of a client, ISL may not consider any credit balance in the other family or group accounts of the client.

Penalties levied by the Exchange: Further Exchanges levy various penalties on the member brokers on auction resulting from short deliveries, non adherence to client wise exposure limits, client wise shortfalls in F & O Margin, violation of market wide limits and for other reasons which may be defined by the Exchanges from time to time. ISL is therefore authorized by the client to pass on any penalty imposed by the Exchange / SEBI and or any other regulatory authority to the client, which arises on account of the client.

ISL provide exposure against the upfront margins received in the form of cash and collaterals at his / her discretion, ISL shall not pay any interest or other benefit to the client for maintaining cash balances or depositing collateral margins.

5. **The right to sell client's securities or close client's positions without giving notice to the client, on account of non payment of client's dues (This shall be limited to the extent of settlement / margin obligations):** ISL is having the right to sell client's securities, both unpaid securities as well as collaterals deposited towards margins, or close out client's open positions, without giving notice to the client where there is a delay / failure of the client to meet the pay in obligations and / or there is a failure of the client to bring additional margins to cover the increase in risk in the dynamic market conditions.

In case of unpaid obligations on T+3 basis, ISL may sell the unpaid / partially paid securities. In addition ISL may sell the collaterals deposited by the client towards margin

and or paid securities purchased by the client in earlier settlements where the sale proceeds of unpaid securities are inadequate to cover the pay in obligations and where the unpaid securities appear to be comparatively illiquid and cannot be sold at reasonable rates to the extent required. ISL may follow LIFO method for liquidation of securities but it may not binding on it to follow this method in all cases.

Margin shortfalls in F & O: Positions of the client may be closed out to the extent of margin shortfall on the T+1 basis. While computing margin shortfall value of unapproved securities shall not be considered. ISL reserves the right to consider the collateral.

Intraday positions: ISL shall have right to close out any intra-day positions taken by the client after a defined :Cut off' time (Presently 30 minutes before close market).

While selling the securities / closing the client's positions, ISL may take into account the sales made by the client, positions closed by the client or collections received from the client till a cut off time. While selling the securities / closing the client's positions, ISL may not take into consideration cheques/ drafts / pay orders deposited by the client with it until clear proceeds of such instruments are received by it in its bank account.

ISL shall have the right to sell client's securities or close out client's open positions but it shall not be under any obligations to undertake this exercise compulsorily. ISL shall therefore not be under any obligation to compensate / or to provide reasons of any delay or omission on its part to sell client's securities or close open positions of the client. The ultimate responsibility risk and liability of the trades are binding on the client.

6. **Shortages in obligations arising out of internal netting of trades:** ISL shall have the right to adopt a policy of its choice for internal auctions arising out of internal netting of trades and charge to defaulter seller and compensate the impacted purchaser as per the policy.

- 7. Conditions under which a client may not be allowed to take further position or the broker may close the existing position of a client:** Where the client is not having adequate margins as per conditions defined in Risk Management Policy.

In cash Segment: Where the client has not able to meet his pay in obligation in cash by the schedule date of pay in, irrespective of the value of collaterals available with Inani Securities Limited, clear proceeds of the cheque deposited by the client to meet the pay in obligations has not yet been received by Inani Securities Limited, Client is trading in “illiquid” securities and volume in his account exceed internal cut off limit fixed by Inani Securities Limited, ISL exposure at “house level” in a specific scrip / contract exceeds the internal limits fixed by it.

In Derivatives: Where the client has not met the margin and has not met market to Market loss in cash. Where the open positions in a contract exceeded or are close to market wide cut off limits. Where the client’s position is close to client wise permissible “open positions”.

Intraday: Clients may not be able to place intra day orders after a cut off time fixed by us. (Presently 15 minutes prior to close market).

- 8. Temporarily suspending or closing a client’s account at the client’s request:** ISL may carry a periodic review of the client accounts and may suspend the accounts from trading in the following circumstances:

- Where the client is inactive for more than 3 months
- Where the client account is under investigation by any regulatory body.
- Where the client has not cleared the naked or uncovered debits which are more than 7 days old.
- Non-delivery of statement of accounts sent on period basis.
- Physical contract notes are received back undelivered due to reasons like “No such person”, “addressee left”, refusal to accept, or other reasons which create suspicion.
- Non-updated of communication details like email id, mobile number, land lines details or if it is found to be

belonging to the third person.

- On notices received from Statutory, government or local authorities and Income Tax, Service Tax, authority etc.
- Where a client is reported to or known to have expired.
- Any other reason at the discretion of Inani Securities Limited.
- Written request from the client for suspension.

- 9. Deregistering a Client:** ISL may deregister a client on the following circumstances.

- Any action taken by SEBI / NSE / BSE or being part of list of debarred entities published by SEBI.
- On basis of information found in sites of CIBIL, Watch out investors, or client having suspicious back ground, link with suspicious organization etc.,
- ISL shall have right to close out the existing positions; sell the collaterals to recover its dues, if any, before de registering the client.
- ISL shall have the right to deregister a client after serving a 15 days written notice without assigning any reason thereof.

We have clearly understood and agree to abide by aforesaid policies and procedures.

We also understand and agree that these policies and procedures can be changed from time to time.

I understand that ISL shall have the right to implement all the above policies but shall not be under any obligations to undertake this exercise compulsorily. The ultimate responsibility, risk and liability of the trades are binding on the client.

INTERNET TRADING LETTER

To,

Inani Securities Limited

Ragd. & Hyderabad Office : G-15, Raghavaratna Tower,

Chirag All Lane, Hyderabad - 500 001.

Mumbal office : B/23, 2nd Floor, Wadala Shri Ram Industrial Estate,

G. D. Ambekar Marg, Wadala (W), Mumbai - 400 031.

Dear Sir / Madam,

Subject: Internet Trading

We wish to trade through internet on National Stock Exchange / Bombay Stock Exchange and confirm that we are fully aware of and understand the risks associated with availing of a service of routing orders through internet including the risk of misuse and unauthorized use of our Username and or Password by a third party and the risk of a person hacking into our account on your ITORS system and unauthorisedly routing orders on behalf of us through the System. We agree that we shall be fully liable and responsible for any and all unauthorized use and misuse of our Password and / or Username and also for any and all acts done by any person through your ITORS, system on our Username in any manner whatsoever.

We hereby confirm you to send our Username and Password on the below mentioned e-mail address.

E-mail Address :

Thanking you,

Yours Truly,

Client's Signature :  _____

Name :

Trading Code :

Mobile No. :

This agreement is made at this day of between **Inani Securities Limited** (member of National Stock Exchange of India Ltd. with SEBI Registration No.: INB 230857131 INF 230857131 The Bombay Stock Exchange Ltd. with SEBI Registration No.: INB 010857135 /Stock Exchange with SEBI registration no. INE hereinafter called MEMBER and having its registered office at G-15, Raghavratna Towers, Chirag Ali Lane, Hyderabad - 500001.

and

..... a individual/company/trust/firm or any other body duly formed and registered under the relevant Act, hereinafter called the CLIENT, having its residence/registered office at.....

WITNESSTH :

WHEREAS the trading member is registered as the trading member of the National Stock Exchange of India Ltd. with SEBI registration number INB 230857131 in the Capital Market Segment, SEBI registration number INF 230857131 in the F&O Segment & NSE Currency SEBI Regn. No. INE 230857131

Whereas the CLIENT is desirous of investing/trading in those securities admitted for dealing on the Exchange as defined in the Bye-Laws of the Exchange.

Whereas the CLIENT has satisfied itself of the capability of the MEMBER to deal in securities and wishes to execute his orders through him and the CLIENT shall continue to satisfy itself of such capability of the MEMBER before executing orders through him.

Whereas the MEMBER has satisfied and shall continuously satisfy himself about the genuineness and financial soundness of the CLIENT and investment objectives relevant to the services to be provided.

Whereas the MEMBER has taken steps and shall take steps to make the CLIENT aware of the precise nature of the MEMBER'S liability for business to be conducted, including any limitations on that liability and the capacity in which it acts.

In consideration of the mutual understanding as set forth in this agreement, the parties thereto have agreed to the following terms and conditions :

1. The provisions of this agreement shall always be subject to Government notifications, any rules, regulations and guidelines issued by SEBI and Stock Exchange rules, regulations and Bye-laws that may be in force from time to time.

2. In the event of death or insolvency of the client or his otherwise becoming incapable of receiving and paying for or delivering or transferring securities which the client has ordered to be bought or sold, MEMBER may close out the transaction of the client and the client or his legal representative shall be liable for any losses, costs and be entitled to any surplus which may result therefrom.
3. The agreement entered into between the MEMBER and the CLIENT shall stand terminated by mutual consent of the parties by giving at least one month written notice. Such cancellation or termination shall not have any effect on transaction executed before the date of such notice of termination and the parties shall enjoy the same rights and shall have same obligations in respect of such transactions.
4. The instructions issued by an authorized representative of the client shall be binding on the client in accordance with the letter authorizing the said representative to deal on behalf of the client.
5. The CLIENT is aware that authentication technologies and strict security measures are required for the internet trading through order routed system and undertakes to ensure that the password of the CLIENT and/or his authorised representative are not revealed to any third party.
6. The CLIENT agrees that the MEMBER shall not be liable or responsible for non-execution of the orders of the CLIENT due to any link/system failure at the CLIENT/ MEMBERS EXCHANGE end. The Stock Exchange may cancel a trade suo-moto without giving any reason thereof.
7. In the event of such cancellation, MEMBER shall be entitled to cancel relative contract(s) with CLIENT.
8. The MEMBER shall also send the Order/Trade confirmation slip through E-mail to the CLIENT at his request,(time period as specified by the client from the time of execution of order/trade on the NEAT system as the case may be. The CLIENT agrees that the information sent by MEMBER by E-mail is deemed to be a valid delivery of such information by the MEMBER.
9. The CLIENT is aware that the MEMBER has provided on the web site a facility for reconfirmation of orders, which are larger than that specified by the MEMBER'S risk management, by the MEMBER and is also aware that the MEMBER has the discretion to reject the execution of such orders based on his risk perception.

10. The Member and the Client are aware of the provisions of Bye-Laws, Rules and regulations of the Exchange relating to resolution of disputes/differences through the mechanism of arbitration provided by the Exchange and agree to abide by the said provisions.
11. All trades, transactions and contracts are subject to the Bye-Laws, Rules and Regulations of the Exchange and shall be deemed to be and shall take effect as wholly made, entered into and to be performed in the city of Mumbai and the parties to such trade shall be deemed to have submitted to the jurisdiction of the Courts in Mumbai for the purpose of giving effect to the provisions of the Rules and Regulations of the Exchange.

Terms and conditions for transactions executed on the internet website

1. The Client will be entitled to a username and password, which will enable him to access the Member's Website for availing of the Service.
2. The Client shall be responsible for keeping the Username and Password confidential and secure and shall be solely responsible for all orders entered and transactions done by any person whosoever through the Member's Website using the Client's Username and/or Password whether or not such person was authorised to do so.
3. The Client shall immediately inform the Member of any unauthorised use of the Client's Username or Password with full details of such unauthorised use including the date of such unauthorised use, the manner in which it was unauthorisedly used, the transactions effected pursuant to such unauthorised use, etc.
4. The Client acknowledges that the he is fully aware of and understands the risks associated with availing of a service for routing orders over the internet including the risk of misuse unauthorised use of his Username and/or Password by third party and the risk of a person hacking into the Client's account on the Member's Website and unauthorisedly routing on behalf of the Client through the System. The Client agrees that he shall be fully liable and responsible for any and all unauthorised use and misuse of his Password and/or username and also for any and all acts done by any person through the Member's Website on the Client's Username in any manner whatsoever.
5. The Client understands that, the Member may, at any time, at its sole discretion and without prior notice to the Client, prohibit or restrict the Client's access to the use of the website or related services and the Client's ability to trade. The Client will bear any loss that he/she/it may be faced with due to inability to execute further trades on account of such restriction.
6. The Client understands that the Exchange asserts a proprietary interest in all of the market data it furnishes, directly or through the Member or otherwise. The Client understands that the Exchange does not guarantee the timeliness, sequence, accuracy or completeness of market data or any other market information, or any messages disseminated by it. Neither the Member nor the Exchange shall be liable in any way for incorrect, misleading, incomplete or dated data or information and, if the Client acts on the basis of the same, he shall do so at his own risk and cost.
7. All orders for purchase, sale or other dealings in securities and other instructions routed through the Member's Website via the Client's User name shall be deemed to have been given by the Client.
8. When the Client places a request to cancel an order, the cancellation of that order is not guaranteed. The order will only be cancelled if the Client's request for cancellation is received and the order is successfully cancelled before it is executed.
9. The Client shall not be entitled to presume an order as having been executed or canceled until a confirmation from the Member is received by the Client.
10. Company at its sole discretion can give extra exposure or intraday limit to the client, such extra exposure will automatically be squared off by trading mechanism without any further reference to the client appx. 15 minutes before the scheduled closing or if the client's margin is evaporated by 90% in any of exchanges,reserves the right to square off positions.

I/We hereby confirm you to send my/our Username and Password on the below mentioned e-mail address.

E-mail ID :

This agreement forms part and parcel of the agreement between stock broker and client

This agreement is made at..... this..... day of between M/s **INANI SECURITIES LIMITED** (member of National Stock Exchange of India Ltd. With SEBI Registration No.: INB/INF/INE), hereinafter called MEMBER, and having its registered office at G-15, Raghavratna Towers, Chirag Ali Lane, Hyderabad - 500001.

And

..... a individual/ company/ trust/ firm or any other body duly formed and registered under the relevant Act, hereinafter called the CLIENT, having its residence/registered office at.

WITNESSTH AS FOLLOWS :

WHEREAS the trading member is registered as the trading member of the National Stock Exchange of India Ltd. with SEBI registration number INB 230857131 in the Capital Market Segment, SEBI registration number INF 230857131 in the F&O Segment & NSE Currency SEBI Regn. No. INE 230857131

Whereas the CLIENT is desirous of investing/trading in those securities admitted for dealing on the Exchange as defined in the Byelaws of the Exchange.

Whereas the CLIENT has satisfied itself of the capability of the MEMBER to deal in securities and wishes to execute his orders through him and the CLIENT shall continue to satisfy itself of such capability of the MEMBER before executing orders through him.

Whereas the MEMBER has satisfied and shall continuously satisfy himself about the genuineness and financial soundness of the CLIENT and investment objectives relevant to the services to be provided.

Whereas the MEMBER has taken steps and shall take steps to make the CLIENT aware of the precise nature of the MEMBER's liability for business to be conducted, including any limitations on that liability and the capacity in which it acts.

In consideration of the mutual understanding as set forth in this agreement, the parties thereto have agreed to the following terms and conditions :

1. The trading and other instructions for facilitating and carrying out business issued telephonically or through any other means whether express or implied by an authorised representative of the client shall be binding on the client. The client hereby authorizes his representative(s)..... or to trade

and transact in securities for and on behalf of the client in the event of the authorised representative(s) being replaced it shall be the responsibility of the client to inform the Stock Broker of the change in writing, failing which the client shall be responsible for the trade obligations arising out of the actions of both the representative, i.e. old as well as the new representatives.

2. The Client is aware that the Stock Broker may tape-record the conversations between Client's representative and the Stock Broker, either personally or over the telephone, and hereby specifically permits the Stock Broker to do so. Such recordings may be relied upon by the Stock Broker as and when required to resolve disputes in connection with the trading transaction.

3. The Exchange(s) may cancel a trade suo moto without giving any reason thereof. There may be insufficient bids or offers or suspension of trading due to price limits or circuit breakers. The electronic trading systems either at the exchange or in the Stock Broker's offices are vulnerable to temporary disruptions or failures. In the event of trade cancellation due to such events or vulnerabilities, the Stock Broker shall be entitled to cancel relative contract(s) with the client. At times due to such unforeseen circumstances the Stock Broker may not be able to execute the desired transactions (either the clients own transactions or transactions for enforcing margins as provided in this agreement) on a timely basis. The Stock Broker does not accept responsibility for any losses that the client may incur on such eventualities beyond the control of the Stock Broker.

4. In the event the Client transacts in more than one stock exchange or in different segments in one exchange or across exchanges, the client specifically authorizes the Stock Broker to set off the outstanding in the Client's account maintained by the Stock Broker in respect of any stock exchange or any segment of any stock exchange against the money standing to the credit of the client's account maintained by the Stock Broker in respect of any other stock exchange or any other segment in any other stock exchange.

5. The Stock Broker may require that the client should deposit interest-free cash deposit as margin before purchase of securities which may be equal to the exchange prescribed margin or more than. In case of cheque, purchase will be allowed only after realisation of cheque only in exceptional circumstances stock broker

- may allow purchase of securities. The same will apply in case of payment received / remittance through RTGS/ NEFT/SWIFT or electronic transfer.
6. The Stock Broker may required the client to deposit interest free margin as per exchange or more/less in the form of cash or securities on the price of the securities proposed to be sold, unless the Stock Broker has received the securities to be sold with valid transfer documents to the Stock Broker's satisfaction prior to such sale.
 7. The Client agrees and authorizes the Stock Broker to determine the market value of securities placed as Margin after applying a haircut that the Stock Broker considered appropriate. The Client positions are valued at the latest market price available ('marked to market') on a continuous basis by the Stock Broker. The Client undertakes to monitor the adequacy of the collateral and the Market value of such securities on a continuous basis. If due to price fluctuations, there is erosion in the value of the margins, the Client agrees to replenish any shortfall in the value of the margins immediately, whether or not the Stock Broker intimates such shortfall.
 8. The Stock Broker may at its sole discretion prescribe the payment of Margin in the form of cash instead of securities. The Client accepts to comply with the Stock Broker's requirement of payment of Margin in the form of cash immediately failing which the Stock Broker may sell, dispose, transfer or deal in any other manner the securities already placed with it as Margin or square off all or some of the positions of the Client as it deems fit in its discretion without further reference to the Client and any resultant or associated losses that may occur due to such square off/sale shall be borne by the Client, and the Stock Broker is hereby fully indemnified and held harmless by the Client in this behalf.
 9. The Client agrees that any securities placed by him/her/ it as Margin may in turn be placed as margin by the Stock Broker with the Exchange or banks or such other institutions as the Stock Broker may deem fit for raising of finance against these securities. The Client authorizes the Stock Broker to do all such acts, deeds and things as may be necessary and expedient for placing such securities with the Exchanges / banks / institutions as margin.
 10. Any reference in these terms to sale or transfer of securities by the Stock Broker shall be deemed to include sale of the securities which form part of the Margin maintained by the Client with the Stock Broker. In exercise of the Stock Brokers right to sell securities under the Agreement, the Client agrees that the choice of specific securities to be sold shall be solely at the Stock Broker's discretion.
 11. The Client is responsible for all orders, including any orders that may be executed without the required Margin in the Client's account. If the Client's order is executed despite a shortfall in the available Margin, the Client shall, whether or not the Stock Broker intimates such shortfall in margin to the client, instantaneously make up the shortfall either through delivery of shares in the event of a sale or credit the required funds in the bank account via wire or personal cheque, cashier's cheque or money order or account transfer or any other mode.
 12. If payment/securities towards the Margin or shortfall in Margin is not received instantaneously to enable restoration of sufficient Margin in the Client's account, all or some of the positions of the Client as well as the securities placed as Margin may be liquidated by the Stock Broker at its sole discretions, without any reference or prior notice to the Client. The resultant or associated losses that may occur due to such squaring off or sale of securities shall be borne by the Client, and the Stock Broker is hereby fully indemnified and held harmless by the Client in this behalf. Such liquidation or close out of positions shall apply to any segment in which the Client does business with the Stock Broker.
 13. In case of purchase on behalf of Client, the Client authorizes the Stock Broker to close out the transactions by selling the securities, in case the client fails to make full payment to the Stock Broker for the execution of the contract within 24 hours of trade or before pay-in-day (as fixed by stock exchange for the concerned settlement period), whichever is earlier, unless the client already as an equivalent credit with the Stock Broker, the loss incurred in this regard, if any, will be met from the margin money of the client. The client agrees to make good the shortfall, if any, within two days of being intimated of the shortfall by the Stock Broker.
 14. In case of sales on behalf of client, the client authorizes the Stock Broker to close out the contract by effecting purchases if the client fails to deliver the securities sold with valid transfer documents within 24 hours of the trade or before delivery day (as fixed by stock exchange authorities for concerned settlement period), whichever is earlier. Loss on transaction, if any, will be deductible from the margin money of the client. The client agrees to make good the shortfall, if any, within two days of being intimated of the shortfall by the Stock Broker.
 15. Notwithstanding anything contained in these presents, any amounts which are overdue from the client or a member of the client's family towards trading either in

- the cash, F&O or derivatives segments or on account of any other reason to the Stock Broker or to any of the Stock Broker's group or associate companies will be charged delayed payment charges at the rate of 1.5 % per month or such other rate as may be determined by the Stock Broker. The client hereby authorizes the Stock Broker to directly debit the same to the account of the Client at the end of each month. The client also authorizes the Stock Broker to debit charges for depository services to the trading account.
- a. The client also agreed that any outstanding amount in his account or his spouse/relative or any company in which he is director or substantial share holder, shall be adjusted by the stock broker out of credit balance/shares or securities in any other aforesaid account.
 - b. In case where client is a company, it authorized adjustments of any debit balance out of credit balance of any other company in which there is atleast one common director or having same share holder or group of share holders having substantial interest or from the credit balance/shares or securities in individual account of the directors. The company further assures that required permission and resolutions/ authorization has been passed as per the law.
 - c. Client also agrees that any balance/ shares or securities outstanding in his account for purchase & sale of shares / securities etc. would be adjustable inter-se company or its segments.
16. The Stock Broker shall send the trade confirmation to the client in form of contract note, digitally signed contract note or otherwise via mail, e-mail, fax, courier, Registered A.D., oral communication, putting them on web server or otherwise at the postal address, telephone / fax nos. E-mail addresses intimated by the client to the Stock Broker. The Client understand that it is his / her / its responsibility to review the trade confirmations, the contract notes, the bills or statements of account immediately upon their receipt. All information contained therein shall be binding upon the client, if the client does not object in writing to any of the contents within twenty-four hours of such intimation / confirmation. In all cases, the Stock Broker reserves the right to determine the validity of the client's objection to the transaction. The client agrees that the Stock Broker will not be responsible for the non-receipt of the trade confirmation due to any change in the correspondence address of the client not intimated to the Stock Broker in writing.
 17. The Client above named does hereby directs and authorizes the Stock Broker above named and his / its agents / employees and authorized persons to receive telephonic, verbal, electronic instructions such as email / fax etc. and instructions through agents and to execute the same by placing; orders, order modification requests or order cancellation requests etc. on his behalf on the trading system of The Bombay Stock Exchange Ltd. and agrees to indemnify the trading member from consequences thereof and to hold the stock broker harmless from any losses or consequences arising out of execution or non execution of orders given through any or all the media enumerated above.
 18. The Client agrees that all orders entered into the trading system of The Bombay Stock Exchange Ltd. on his behalf by the trading member and his / its agents / employees and authorized persons from shall be binding on him. The Client agrees to indemnify and keep indemnified the stock broker and his/its agents/ employees and authorized persons from any consequences of such orders placed on his behalf including the losses or any other consequences flowing out of them. The Client agrees that it shall not be open to him to challenge the correctness or otherwise of an order placed or an order not placed on his behalf and agrees to be liable for all orders placed on his behalf, and trades resulting there from, by the trading member and his / its agents / employees and authorized persons.
 19. The Client further undertakes to collect or get collected from the Stock Broker and his / its agents / employees and authorized persons the Order placement / Modification slip, order cancellation details, trade confirmation slips and contract notes etc. and agrees to indemnify and hold the trading Stock Broker harmless for any delay in such collection and non-collection by him of his Order placement / modification slip, order cancellation details, trade confirmation slips and contract notes etc. from the office of the trading Stock Broker. The client understands that it is his responsibility to review the trade confirmation, receive / view/save the contract notes/digital contract notes. And that all information contained therein shall be binding upon the Client.
 20. The Client further agrees and accepts that transmission of document/records/data and information including but not limited to contract notes (including digital contract notes, and other electronic documents) through ordinary post, UPC, Courier/speedpost/registered post/electronic mail i.e., email/electronic mail attachment/download available from website or fax to his last known business

and/or residential address and/or E-mail address and/or fax no. shall be deemed to have been properly delivered or served even if such communication is returned as unclaimed/ refused/ undelivered.

21. The client agrees that the Stock Broker will not be responsible for the non-receipt of the trade confirmation/ contract notes or any other data/information due to any change in the address/ E-mail address etc. not intimated to the trading Stock Broker in writing. The client also understands that he will have to down load the data / information within a week of the trading date and the Stock Broker shall be at liberty to delete old data that is to say data more than a week old from the web server with out any notice.
22. Type of services offered: The Stock Broker agrees to provide, and the Client agrees to avail of, the following services:

Trading facilities

Clearing facilities

And such other services as permitted by SEBI and the Exchanges from time to time.


23. All trades, transactions and contracts are subject to the Rules and Regulations of the respective Exchange(s) on which the trade have been executed and the parties to such trade shall be deemed to have submitted to the jurisdiction of the courts in Delhi for the purpose of giving effect to the provisions of the Rules and Regulations of the Exchange(s).

24. The CLIENT shall indemnify and keep indemnified the Stock Broker harmless from and against all claims, demands, actions, proceedings, losses, damages, liabilities, charges and / or expenses that are occasioned or may be occasioned to the Stock Broker directly or indirectly, owing to bad delivery of shares / securities and / or as a result of fake / forged / stolen shares / securities / transfer documents that are introduced or that may be introduced by or through the CLIENT during the course of its dealing / operations on BSE.
25. In case the client buys securities in one settlement, and sells the same in a subsequent settlement, without having received the payout of the securities, any resulting auction / losses will be the sole responsibility of the client.
26. The client confirms having read and understood the terms and conditions of the member client agreement and those relating to various services and products and accepts and agrees to be bound by the terms and conditions including those excluding / limiting the Stock Broker's and Exchange's liabilities.
27. The member and client are aware of the provisions of by laws, rules and regulations of the Exchange relating to resolution of disputes / differences through the mechanism of arbitration provided by the Exchange and agrees to abide by such provisions.
28. Policy regarding treatment of inactive normal accounts :

When Trade is not done in an account for more than 3 months,reserves the right to temporary suspend the operations. The Management may allow operations in such account after making such verification as it deems fit.

IN WITNESS WHEREOF, the Parties hereto have executed this Agreement as of the day and year first above written.

The Client's Signature/ Authorized Signatory :

Signed by :	 _____
Title :	
Name of the client :	
1. Witness' Signature	
Name	
Address	
2. Witness' Signature	
Name	
Address	

For

Signed by :	For Inani Securities Limited
Title :	Director / Authorised Signatory
Name of the client :	Inani Securities Limited
1. Witness' Signature	
Name	
Address	
2. Witness' Signature	
Name	
Address	

Prevention of Money Laundering Act 2002.(PMLA)

Due to the tightened security and increased vigilance in the wake of threats emanating from increasing terrorism, any failure on our part to discharge our duties cast on us under the applicable laws or we becoming an instrumental or a part of the chain in certain transaction, even if unknowingly or ignorantly, **may land us in trouble.**

- There is an Act called **Prevention of Money Laundering Act 2002.(PMLA)**
- The purpose of this act is to prevent the financing of terrorism and to prevent laundering of money i.e. to legalize or officialize or canalize the money generated from illegal activities like drug trafficking, organized crimes, hawala rackets and other serious crimes.
- This act is a part of the Global measures being taken by all the countries under the initiatives of UN agencies.
- It is applicable to all SEBI Registered brokers/sub-brokers and other financial institution who are dealing in any kind of financial assets.
- It is an obligation of the entities to whom this Act is applicable, to report certain kind of transactions routed through them to FINANCIAL INTELLIGENCE UNIT, a department specially set up to administer this Act under the Ministry of Finance.
- The transactions which are supposed to be reported are cash transactions above rupees ten lakhs or series of cash transactions below ten lakhs but aggregating to above ten lakhs in a month or its equivalent in any foreign currency and the transactions which may not be in cash but suspicious in nature.
- Any such above types of transaction, though not executed but attempted and failed are also required to be reported.
- The suspicious transaction can be related to the transaction under the circumstances such as;
 - Clients whose identity verification seems difficult or clients that appear not to cooperate
 - Asset management services for clients where the source of the funds is not clear or not in keeping with clients apparent standing /business activity;
 - Clients based in high risk jurisdictions;
 - Substantial increases in business without apparent cause;
 - Clients transferring large sums of money to or from overseas locations with instructions for payment in cash;
 - Attempted transfer of investment proceeds to apparently unrelated third parties;
 - Businesses undertaken by offshore banks/financial services,
 - Businesses reported to be in the nature of export/import of small items.
 - Unusual transactions by Clients of Special Categories (CSCs).
- Clients of Special Categories may include;
 - NRI/HNI/Trust/Charities/NGO/Organizations receiving donations
 - Companies having close family shareholdings or beneficial ownership
 - Politically Exposed Persons
 - Companies offering foreign exchange offerings
 - Clients in high risk countries

- Non face to face clients
- Clients with dubious reputation as per public information available

- No trading or demat account can be opened in the name of entity whose name is listed on the banned entity list being maintained at United Nation's website at <http://www.un.org/sc/committees/1267/consolist.shtml>.

- While opening the new accounts all the prescribed procedures of KYC and Client Identifications should strictly be followed in the context of ensuring the compliance under this act.


- All the records of transactions and client identifications must be preserved in a manner which can be promptly retrieved and reported to the authorities in the specified format.

- This is the highlights of the requirements under the Act. Subbrokers / Authorised Persons / Remissers are advised to go through the SEBI's master circular at <http://www.sebi.gov.in/circulars/2010/mastercircular/MasterCircular.pdf> for detailed information and understanding and also to visit the website of FIU-IND at <http://fiuindia.gov.in>

- The end clients are advised to co-operate with us by providing the additional information / documents, if asked for during the course of your dealings with us to ensure the compliance requirements under this Act.

- As a responsible citizen, it is our statutory as well as moral duty to be vigilant and to refrain from temptation of easy monetary gains, by knowingly or unknowingly supporting the people who are involved in the activities which are endangering our freedom and causing damage to the nation and to us as well.

Thanking you
Yours faithfully

38  _____
(Client Signature)

RUNNING ACCOUNT AUTHORISATION

To,
INANI SECURITIES LIMITED
 G-15, Raghava Ratna Towers,
 Chirag Ali Lane, Abids,
 Hyderabad – 500 001.

Date ::

Dear Sir,

Sub : Consent for Maintenance of Running Account – Reg.

Due to practical difficulties, I/we may not be in a position to settle my/our trades within the Exchange specified settlement schedule. Hence I/we request you to offer the facility of maintaining a running account with you. In this connection, I/we understand and agree to the following:

1. You can retain the funds and securities with you, received as pay outs from the Exchanges on my/our behalf. The same can be used towards margins for my/our future transactions or outstanding positions, if any
2. In case of any sale transactions done by me/us, you can automatically deliver the shares to the Exchange towards pay in, out of shares lying with you, on my/our behalf.
3. In case of any debit balance, in my/our account, you can square off my/our securities held with you by me/us, to the extent of my/our debit balance, without any notice to me/us.
4. You can transfer funds / securities from my/our account in one segment against my/our obligations in another segment in the same Exchange or different exchanges. These funds can be done either by way of a journal entry or by way of physically exchange of cheques.
5. You can transfer funds / securities from my/our account in one segment against collaterals / margins for our trade in another segment in the same exchange or different exchanges.
6. In case I/we require funds or securities, we will inform you of the same in writing, after which you can make the payments / transfer the securities to me/us on my/our request.
7. I/We will not hold you responsible for any loss / damage arising out of such transfer and or sale done by me/us, on our behalf, pursuant to these instructions.
8. I/We authorise you to retain securities / funds to meet margin obligations for the next five trading days calculated in the manner as specified by the Exchanges.
9. I/We authorize you to settle my funds and securities once in calendar month.
10. I/We know that you are not doing trading in your own account “PRO”, Proprietary Trading along with client business.
11. I/We understand that this consent of maintenance of running account is revocable. In case I/we wish to withdraw this consent, we shall inform you in writing and get it acknowledged by you at least 15 days in advance from the date of such withdrawal.
12. I/We undertake and agree that in case of any discrepancy in my/our account or any other trades made in my/our account or any trade dispute or dispute relating to charges / fees to my/our account, I/we shall inform you in writing within 7 working days from the date of receipt of funds / securities in our account or statement as part of periodic settlement.

Thanking You,

Your's faithfully,

③ _____
 (Client's Signature)

Client's Name : _____

Client's Code : _____

LETTER TO BE OBTAINED FROM BANK FOR VERIFICATION OF SIGNATURES

Dated :

To

Trading & Clearing Member NSE & BSE _____

Fax : _____ E-mail : _____

Dear Sir,

This is to certify that the savings / current account no. _____ of
Mr. / Ms. M/s. _____ held jointly with Mr./Ms./M/s. _____ with our
branch has been active since _____ years / months and the operation of the account has been satisfactory.

We further confirm that the photograph herein bears true likeness to the identity of the above mentioned person & that the
address of the person is as given below :

Bank
Stamp across
photograph

④ _____
Signature of client

Name, Signature of the Branch Manager

Signed in my presence and Attested

Stamp of the Bank and the Branch

Signature of Branch Manager

(DISCLOSURE TO CLIENT)

To,

_____ (Fill Client Name & Address)

Sir,
As per exchange notification, we hereby inform you that, we do not trade on behalf of client / own account also.
Thanks and best regards

For

(Authorized Signatory)

I acknowledge receipt of your information that you do client based trading and Pro account trading.

④ _____
(Client Signature)

ANNEXURE - 1

DIGITAL / CONTRACT / COMMUNICATION CONFIRMATION

To,

Inani Securities Limited

Ragd. & Hyderabad Office : G-15, Raghavaratna Tower,

Chirag All Lane, Hyderabad - 500 001.

Mumbal office : B/23, 2nd Floor, Wadala Shri Ram Industrial Estate,

G. D. Ambekar Marg, Wadala (W), Mumbai - 400 031.

Dear Sir / Madam,

Subject: Digitally signed contract / communication confirmation

I / We hereby consent for receiving contract notes in an electronic form (ECN) and other communication via email on our email ID as under

ISL shall send digitally signed bills / contract notes (ECN) / margin statements, and other documents such as reports, letters, circulars, notices, statement of accounts for funds / securities, ledger statement / transaction statement I holding statement etc. to me/us on this e-mail id and shall be valid, legal and binding upon me/us.

I/We hereby explicitly agree to receive and check all such mails regularly. I/We also agree that I/We will keep adequate space in my / our mailbox to receive all mails sent by ISL. I/We have noted that non-receipt of bounce mail notification by ISL shall tantamount to delivery of electronic contract note to me/us.

I/We agree that any discrepancies / charges in aforementioned shall be duly brought to the notice of ISL by me/us within 24 hours of sending such electronic mail. I/We also agree that non-receipt of any of these documents shall be intimated to ISL either through e-mail or in writing by me/us. In case ECNs have not been delivered or rejected due to any reason, ISL shall send physical contract note to me/us for which additional charges should be paid by me/us.

Thanking you,

Yours Truly,

Client's Signature :  _____

Name :

ANNEXURE - 2

DECLARATION BY FIRM (SOLE PROP.) ON LETTERHEAD OF THE FIRM

To,

Dated :

Inani Securities Limited

Ragd. & Hyderabad Office : G-15, Raghavaratna Tower,

Chirag All Lane, Hyderabad - 500 001.

Mumbal office : B/23, 2nd Floor, Wadala Shri Ram Industrial Estate,

G. D. Ambekar Marg, Wadala (W), Mumbai - 400 031.

Dear Sir / Madam,

I refer to the trading account opened with you in the name of _____
and declare the authorize you as under :

I recognize that a beneficiary account cannot be opened with a depository participant in the name of a sole proprietorship firm as per Regulations, To facilitate the operation of the above trading account with you and for the purpose of completing the share transfer obligations pursuant to the trading operations, I authorize you to recognize the beneficiary account No. _____ with depository _____
having DP ID _____ opened in the name of the undersigned who is the sole proprietor of the firm.

I agree that the obligation for shares purchased and / or sold by the firm will be handled and completed through transfer to / from the above - mentioned account. I recognize and accept transfers made by you to the beneficiary account as complete to obligations by you in respect of trades executed in the above trading account of the firm.

Signature X _____ (Please sign with stamp of the firm)

Further I the undersigned am the sole proprietor of the firm and am solely responsible for the liabilities thereof. I shall advise you in writing of any change that takes place in the constitution of the firm and I will be personally liable to you for all the oblligations that the firm may incur in the course of dealings with you and understate to personally discharge such liabilities.

The cheques / DD May be issued by me from my individual account or my joint account with some one else. This said amounts so given shall be solely / exclusively for credit to the account of my sole proprietorship firm M/s _____ with Inani Securities Limited I shall not lay any claim whatsoever in future against Inani Securities Limited for affording credit of such cheques / DDs issued from my individual / joint account credit of which has been provided by Inani Securities Limited to the account of my proprietor firm M/s. _____

Your's truly,

X _____
Signature (Please sign **without** stamp of the firm)

Name of Client : _____

Client Code : _____

Date : _____

Place : _____

ANNEXURE-3
DECLARATION TO BE GIVEN BY PARTNERSHIP
(To be obtained on pre - printed Letterhead of the Firm)

To, Dated :
Inani Securities Limited
Ragd. & Hyderabad Office : G-15, Raghavaratna Tower,
Chirag All Lane, Hyderabad - 500 001.
Mumbai office : B/23, 2nd Floor, Wadala Shri Ram Industrial Estate,
G. D. Ambekar Marg, Wadala (W), Mumbai - 400 031.

Dear Sir,

We refer to the trading account opened with you in the name of _____ and declare and authorize you as under.

We recognize that a beneficiary account cannot be opened with a depository participant in the name of the partnership firm as per regulations. To facilitate the operation of the above account with you and for the purpose of completing the securities transfer obligations, pursuant to the trading operations, we authorize you to recognize the beneficiary account No _____ with depository _____ having DP ID _____ opened as a joint account in the names of the partners of the firm.

We agree that the obligations for shares purchased and / or sold by the firm will be handled and completed through transfer to / from the above - mentioned account. We recognize and accept transfers made by you to the beneficiary account as complete discharge of obligations by you in respect in trades executed in the above trading account of the firm.

X _____ X _____ X _____
Signature Signature Signature

Instructions ; Should be signed by all partners of his firm.

ANNEXURE-4
AUTHORITY LETTER IN FAVOUR OF MANAGING PARTNER/(S)
(To be obtained on pre-printed Letterhead of the Firm)

We the partners of M/s _____ a partnership (firm, having its office at _____ (office address)

city _____ state _____ Hereby

authorize Mr. / Ms _____ And Mr. / Ms

_____ to open a securities trading account in Capital Marker with the Trading Member Inani Securities Limited for sale and; purchase of shares/debentures/derivative instruments in. Capital market segment (CM) and or Future, and Options seament (F & 0) and / or Retail Debt Market segment (RDM) or any other segment that may be introduced by NSE / BSE in future. He / She / They is / are authorized on behalf of the firm to deal in equities, derivatives, debentures, debts products and the said Trading Market is hereby authorized to honour all instructions oral or written, given on behalf of the firm by him / her / them.

Mr. / Ms. _____ and Mr / Ms _____ is/are authorized to sell, purchase, Tranfer, endorse, negotiate documents and / of / otherwise deal Through Inani securities limited on behalf of the firm M/s. _____ He / She / They is / are also authorized to sign execute and submit such applications undertakings, agreements and other requisite documents, writings and deeds as may be deemed necessary or expedient to open account and give effect to this purpose.

However any partner/ authorized signatory (ies) can issue; cheques from bank account(s) in favour of Inani Securities Limited, for credit to Share trading account of the firm with Inani Securties Limited. Even though his / their signatures may not be available on the records of Inani Securities Limited. These cheques may either be from the account of partnership firm or from individual account, the said amount so given shall be solely / exclusively for the account of the firm maintained with.

X _____ X _____ X _____
Signature Signature Signature

Note : Please affix rubber stamp of the firm for each signature.

ANNEXURE - 5

DECLARATION OF JOINT FAMILY

To,

Inani Securities Limited

Regd. & Hyderabad Office : G-15, Raghavaratna Towers,
Chirag Ali Lane, Hyderabad - 500 001.

Mumbai office : B-23, 2nd Floor, Wadala Shree Ram Ind. Estate,
G. D. Ambekar Marg, Wadala (West), Mumbai - 400 031.

A/c No. _____

1. WHEREAS the Hindu Undivided Family of _____
_____ (herein after referred to as "the said Joint family") carrying on business in the firm name and style
of _____ at _____ or elsewhere (hereinafter referred to as "the said
H.U.F. Firm'), have or desire to have Share Trading A/c with Inani Securities Limited (hereinafter to as "Member")
we, the undersigned, hereby declare

(a) that we are the present adult co-parceners of the said joint family;

(b) that Sh _____ is the present Karta or Manager of the said Joint Family.

(c) that we are entitled to trade in shares and open Shares Trading Account of the said Joint Family.

(d) That each one of us has full and unrestricted authority to act on behalf of, and bind, the said H.U.F. firm and all the present
as well as future members, both adults and minors, of the said joint family. howsoever constituted from time to time.

2. We confirm that the affairs of the said joint family and the business of the said H.U.F. firm and carried on mainly by the
Karta / Manager, the said Sh _____ on behalf and in the interest and for the
benefit of all the co-parceners of the said joint family. We hereby authorize the Karta / Manager
Sh _____ on behalf of the H.U.F. to deal on Capital Market seg-
ment (CM), Futures and Options segment (F&O), Retail Debt Market segment (RDM) or any other segment that may be
introduced by NSE / BSE in future and the said Trading Member is hereby authorized to honor all instructions oral or written,
given by him on behalf of the H.U.F.

Mr. _____ is authorized to sell, purchase, transfer enclorse, negotiate documents
and / or otherwise deal through Inani Securities Limited on behalf of the H.U.F. _____ He is also
authorized to sign, execute and submit such applications, undertakings, agreements and other requisite documents, writings
and deeds as may be deemed necessary or expedient to open account and give effect to this purpose. We are, however,
jointly and severally responsible for all liabilities of the said H.U.F. firm to the Member and agree and cofirm that any claim
due to the Member from the said H.U.F. firm shall be recoverable from the assets of any one or all of us and also from the
estate of the said joint family including the interest thereon fo every co-parcener of the said joint family, including the share of
the minor co-parceners, if any.

3. We undertake to advise the Member in writing of any change that may occur in the Kartaship/Managership or in the
constitution of the said joint family or of the said H.U.F. firm and until receipt of such notice by the Member, the Member will
be entitled to regard each of us as a member of the said joint family and as a partner of the said H.U.F. firm and all acts,
dealings and transactions purporting to have been done on behalf of the said joint family or of the said H.U.F. firm, before the

Member shall have received notice in the manner aforesaid, shall be binding on the said joint family and the said H.U.F. firm and on our respective estates. We shall, however, continue to be liable jointly and severally to the Member and until and such dues and obligations shall have been liquidated and discharged.

4. We recognize that a beneficiary account can be opened with Depository Participant only in the name of Karta as per regulations. To facilitate the operation of the above share trading account with you and for the purpose of completing the share transfer obligations pursuant to the trading operations, we authorize you to recognize the beneficiary account no. _____ with depository _____ opened in name of Sh. _____ who is the Karta / Manager of this H.U.F.

5. I agree that obligations for share purchase and / or sale by the H.U.F. will be handled and completed through transfers to / from the above mentioned account. I recognize and accept transfers made by you to the beneficiary account as completion of obligations by you in respect of traders executed in the above trading account of the H.U.F.

6. The names and dates of birth of the present minor co-parceners of the said family as given below. We undertake to inform you in writing as and when each of the said members attains the age of majority and is authorized to act on behalf of, and bind, the said H.U.F. firm.

Name of the Minor	Father's Name	Date of Birth
_____	_____	_____
_____	_____	_____
_____	_____	_____

7. We have received and read a copy of the Member's rules and regulations for the conduct of Share Trading Accounts and we agree to comply with and be bound by the said rules now inforce or any changes that may be made therein from time to time.

yours faithfully,-

X _____ **X** _____ **X** _____

(Full personal signatures of Karta and all major co-parceners)

ANNEXURE-6

FORMAT OF BOARD RESOLUTION IN CASE OF CORPORATE

(To be obtained on pro-printed Letterhead of the company)

CERTIFIED TRUE COPY OF THE RESOLUTION PASSED IN THE MEETING OF THE BOARD OF DIRECTORS OF M/s. _____ Ltd. AND HAVING ITS REGISTERED OFFICE AT _____

HELD ON _____ DAY _____ OF _____ 20 _____ AT _____

Resolved That the Company do agree with Inani Securities Limited. Member of Bombay Slock Exchange Ltd, (BSE) and National Stock Exchange of India Limited (NSE) etc for the purpose of dealing on Capital Market segment, Futures and Options segment. Retail Debt segment or any other segment that may be introduced by BSE/NSE/ Inani Securities Limited in future and the said Trading Member be and is hereby authorized to honor instructions, oral or written, given on behalf of the company by any of the under noted authorized signatories :-

Table with 3 columns: Sr No., Name, Designation. Rows 1, 2, 3.

who is/are authorized to sell, purchase, transfer, endorse, negotiate documents and/ or otherwise deal through Inani Securities Limited on behalf of the Company.

RESOLVED FURTHER THAT Mr. _____ and / or Mr. _____ Directors and / or Mr. _____

Authorized Siganatory of the Comapny be and are hereby authorized to sign, execute such applications, undertakings agreements and other requisite documents, writings and deeds as may be deemed necessary or expendient to open account and give effect to this resolution. AND RESLOVED FURTHER THAT, tha Common Seal of the company be affixed, wherever necessary, in the presence of any Directors or of any one director and Company Secretary, who shall sign the same in taken of the presence.

For _____ Ltd.

Chairman / Company Secretary (Signature to be verified by the Banker)

Specimen Signatures of the Authorized Persons

Table with 3 columns: Sr. No., Name, Specimen Signatures. Rows 1, 2, 3.

The above signatures to be attested by the person signing the resolution for account opening on behalf of the Company.

ANNEXURE-7

DECLARATION TO BE GIVEN BY CORPORATES

(To ba obtained on pre-printed Letterhead of the company)

To, _____ Date: _____

Inani Securities Limited

Ragd. & Hyderabad Office : G-15, Raghavaratna Tower, Chirag All Lane, Hyderabad - 500 001.

Mumbai Office : Shri Ram Industrial Estate, G. D. Ambedkar Marg, Wadala (W), Mumbai - 400 031.

Dear Sir,

We hereby certify that the following resolution of the Board of Director of _____ Limited was duly passed at the Board meeting held on dated _____ that

- 1. The company is empowered to deal in equities, derivatives, debentures, debts products and agree to the terms of the Member as per Member Constituent Agreement.
2. The Member is hereby authorized to act on the oral or written Instructions of any one or more of the following persons. He/they is / are authorized to operate the account and to deal in equities, derivatives, debentures, debts products and to sell, purchase, transfer, endorse, negotiate documents and I or otherwise deal through Inani Securities Limited on behalf of the company _____ He I they is / are also authorized to sign, execute and submit such applications, undertakings, agreements and other requisite documents, writings and deeds as may be deemed necessary or expedient to open account and give to this purpose.

Signature [s] Name (&)

X _____
X _____
X _____
X _____

Signature of Chairman / Director X _____

AND

Signature ol Company Secretary X _____

(Please attach a certified true copy of the resolution)

CHECK LIST

Name			
Client Code		PAN	
Date of Account Opening		Date of Birth	

BROKERAGE

	Maximum	NSE Minimum	Maximum	BSE Minimum
Intraday	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Delivery	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Trade to Trade	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

F&O Segment

Trading Account		Future %	Min.
1. Brokerage		<input type="text"/>	<input type="text"/>
2. Square off same day	<input type="checkbox"/> Each Side <input type="checkbox"/> One Side	<input type="text"/>	
		Option %	Min.
		<input type="text"/>	<input type="text"/>
Flat Brokerage	Per Trade (In Rs.)	Order value in Multiples (In Rs.)	
F&O	<input type="text"/>	<input type="text"/>	

CURRENCY

NSE	% Per Lot	% Minimum per Lot	% Flat Brokerage Per Lot
Brokerage	<input type="text"/>	<input type="text"/>	<input type="text"/>

MUTUAL FUNDS %

Exchange _____ ④ _____

- 1.
 - 2.
- * Transaction Charges, Service Tax, STT, Stamp Duty, Other Govt. Levies are extra.
 One Time Account Opening Charges (Rs.)..... Recurring Charges : Monthly..... Quarterly..... Half Yearly..... Yearly.....

Note : In case of Physical Contact note are being dispatched to client, a difference of Rs. 25/- in total brokerage booked on a particular date would be charged toward minimum processing fee.

CORE VALUES

- ◆ **TRANSPARENCY** : We believe transparency is the only force which can make the small sapling a giant tree.
- ◆ **HONESTY** : Honesty is the Best Policy.
- ◆ **INTEGRITY** : Financial Broker’s job is the job of utmost confidence & trust. Even an iota of doubt can rock the

ADVANTAGE.....

- ◆ SMS Alert Service.
- ◆ Free E-mail ID.
- ◆ Electronic Contract Notes.
- ◆ Tie Up With Best in the Industry
- ◆ Wide choice of Trading Platforms
- ◆ Online Banking Gateway.
- ◆ Online Back Office Integration.
End to End Trade Solutions.

PROCESSING SHEET

- ◆ Date Bank Entry
- ◆ File Export to NSE-BSE
- ◆ Activated By
- ◆ Back Office Entry
- ◆ Mapping By

NSE	NSE-INB 230857131 (Cash Market)	TM Code - 08571
	NSE-INF 230857131 (Future)	
	NSE-INE 260857131 (Currency)	
BSE	BSE-INB 010857135 (Cash)	CLG ID - 941

ACKNOWLEDGEMNET

.....
.....
.....

I/We hereby acknowledge the receipt of duly executed copy of KYC, MCA/Tripartite Agreement, RDD and all other documents as executed by me/us. Further I confirmed that the documents for kyc submitted by me are true and correct. I acknowledge that the other details related to my account are as under:

Trading Code UCC
My E-mail id

④ _____
(Signature of client)

**Acknowledgement of
KYC Registration Received & confirmation of
Personal Details**

Form No :

To
Inani Securities Limited,
G-15, Raghav Ratna Towers,
Chirag Ali Lane, Abids,
Hyderabad - 500 001.

Dear Sir / Madam,

With reference to my / our request to register with you for dealing in Cash/ Derivatives / Currency Derivatives Segment, I / We hereby declare and confirm as under.

1. I/We have received welcome letter from you and I/we have noted my / our unique client code as _____ and BO/ID as _____. I/We confirm that the particulars mentioned in welcome letter are correct and same as filled by me/us in the Account Opening Form.
2. My/Our email ID is _____ and the same will be checked by me/us on regular basis. All communications like bills/contract notes/ statements etc. send to me / us on this email-id shall be legal and binding upon me/ us. For non-receipt of any of these documents I/we shall intimate you in writing either through e-mail or letter.
3. My/our mobile number is _____ for the purpose of SMS alert for trade confirmation and DP transaction and same shall remain active. I / Shall intimate you in writing either through e-mail or letter for non-receipt of SMS alert, if any.
4. I/we have read and understood the Policies & Procedures, terms & conditions, agreement and Risk Disclosure Document of the account opening form and I/we am/are also aware of risks involved with regard to dealing in the stock market.
5. I/we shall intimate you in writing any change in the email-id, mobile no, address, bank details or any other personal details.
6. I/we have received copies of the following documents:
 - a. Copy of Account Opening form for Trading and DP account.
 - b. Copy of Policies & Procedures.
 - c. Copy of Risk disclosure document
 - d. Copy of voluntary disclosure document.
 - e. Copy of all agreements executed for Trading and DP account.
 - f. Copy of duly executed Power of Attorney.

Thanking you,

Signature :  _____

Name : _____

Centre Code: _____

Centre Name : _____