

Doc. Charges

**CDSL**

Scheme Code

**INANI SECURITIES LTD.**

G-15, Raghav Ratna Towers, Chirag Ali Lane, Hyderabad - 500 001.

SEBI REGN NO : IN-DP-CDSL-269-2004.

Ph. : 23201279, Fax : 23200969

E-mail : info@inanisec.in Visit us at : www.inanisec.in

Investor Grievance Email ID : investors@inanisec.in

**APPLICATION FORM FOR OPENING A DEMAT ACCOUNT** Individual  NRI  Foreign National

Application No. :										Date :									
DP Internal Reference No.																			
DP ID	1	2	0	3	6	2	0	0	Client ID										

(To be filled by the Applicant in **BLOCK LETTERS** in English)**I / We request you to open a Demat Account in my / our name as per the following details :-****Sole / First Holders Details**

First Name																			
Middle Name																			
Last Name																			
Father / Husband Name																			
Title	<input type="checkbox"/> Mr. <input type="checkbox"/> Mrs. <input type="checkbox"/> Ms. <input type="checkbox"/> Other										Suffix								
Correspondence Address																			
City											State								
Country											PIN								
Telephone No.											Fax No.								
PAN											Mobile No.								
E-mail ID																			
<b>Permanent Address</b> (If different from Correspondence Address)																			
City											State								
Country											Pin								
Telephone No.											Fax No.								
Email ID											Mobile No.								

**Joint Holders - Second Holder's Details**

First Name																			
Middle Name																			
Last Name																			
Father / Husband Name																			
Title	<input type="checkbox"/> Mr. <input type="checkbox"/> Mrs. <input type="checkbox"/> Ms. <input type="checkbox"/> Other.										Suffix								

F2 \_\_\_\_\_

S2 \_\_\_\_\_

T2 \_\_\_\_\_

F1

S1

T1

<b>Permanent Address</b>									
City					State				
Country					PIN				
Telephone No.			Fax No.			Mobile No.			
PAN No.									
Email ID									

**Joint Holders - Third Holder's Details**

First Name											
Middle Name											
Last Name											
Father / Husband Name											
Title					<input type="checkbox"/> Mr. <input type="checkbox"/> Mrs. <input type="checkbox"/> Ms. <input type="checkbox"/> Other.					Suffix	
<b>Permanent Address</b>											
City					State						
Country					PIN						
Telephone No.			Fax No.			Mobile No.					
PAN No.											
E-mail ID											

**Type of Account (Please tick whichever is applicable)**

Status	Sub - Status
<input type="checkbox"/> <b>Individual</b>	<input type="checkbox"/> Individual Resident <input type="checkbox"/> Individual-Director <input type="checkbox"/> Individual Director's Relative <input type="checkbox"/> Individual HUF / AOP <input type="checkbox"/> Individual Promoter <input type="checkbox"/> Others (specify) <input type="checkbox"/> Individual Margin Trading A/C (Mantra)
<input type="checkbox"/> <b>NRI</b>	<input type="checkbox"/> NRI Repatriable <input type="checkbox"/> NRI Non-Repatriable <input type="checkbox"/> NRI Repatriable Promoter <input type="checkbox"/> NRI Non-Repatriable Promoter <input type="checkbox"/> NRI - Depository Receipts <input type="checkbox"/> Others (specify)
<input type="checkbox"/> <b>Foreign National</b>	<input type="checkbox"/> Foreign National <input type="checkbox"/> Foreign National - Depository Receipts <input type="checkbox"/> Others (specify)
I / We instruct the DP to receive each and every Credit in my / our account. <span style="float: right;">[Automatic Credit] <input type="checkbox"/> Yes <input type="checkbox"/> No</span>	
<b>Account Statement Requirement</b>	<input type="checkbox"/> Daily <input type="checkbox"/> Weekly <input type="checkbox"/> Fortnightly <input type="checkbox"/> Monthly

Do you wish to receive dividend / interest directly in to your Bank account given below through ECS ?	<input type="checkbox"/> Yes <input type="checkbox"/> No
-------------------------------------------------------------------------------------------------------	----------------------------------------------------------

**Bank Details [Dividend Bank Details]**

<b>Bank Code (9 digit MICR Code)</b>									
<b>Bank Name</b>									
<b>Branch</b>									
<b>Bank Address</b>									
<b>City</b>	<b>State</b>				<b>Country</b>	<b>PIN</b>			
<b>Account number</b>									
<b>Account type</b>	<input type="checkbox"/> Saving <input type="checkbox"/> Current <input type="checkbox"/> Cash Credit <input type="checkbox"/> Others (specify)								

- (i) Photocopy of the cancelled cheque having the name of the account holder where the cheque book is issued, (or)
- (ii) Photocopy of the Bank Statement having name and address of the BO and not more than 4 months old, (or)
- (iii) Photocopy of the Passbook having name and address of the BO, (or)
- (iv) Letter from the Bank.

**In case of options (ii), (iii) and (iv) above, MICR code of the branch should be present/mentioned on the document and it should be self certified by the BO.**

I / We have read the terms & conditions DP-BO agreement and agree to abide by and agree to abide by and be bound by the same and by the Bye Laws as are in force from time to time. I/We declare that the particulars given by me/us above are true and to the best of my/our knowledge as on the date of making this application. I/We agree and undertake to intimate the DP any change(s) in the details / particulars mentioned by me / us in this form. I/We further agree that any false / misleading information given by me / us or suppression of any material information will render my account liable for termination and suitable action.

	First / Sole Holder	Second Joint Holder	Third Joint Holder
Name			
* Signature	F5	S5	T5
Passport size Photograph	(Please sign across the photograph)	(Please sign across the photograph)	(Please sign across the photograph)
	Sign across the Photo	Sign across the Photo	Sign across the Photo

(Signatures should be preferably in blank ink)

(In case of minor holder, photograph of guardian has to be affixed along with minor's photograph.)

Name
* In case of Firms Association of Persons (AOP), Partnership Firm, Unregistered Trust etc., although the account is opened in the name of the natural persons, the name of the Firm, Association of Persons (ACP), Partnership Firm, Unregistered Trust etc., Should be mentioned above.

**Additional Details**

SMS Alert Facility	<input type="checkbox"/> Yes MOBILE NO. + 9 1 _____	<input type="checkbox"/> No
Refer to Terms & Conditions given as <b>Annexure-A</b>		
Easi	<input type="checkbox"/> Yes If yes, please contact your DP for details [Facility through CDSL'S website : <a href="http://www.cdslindia.com">www.cdslindia.com</a> where in a BO can view his ISIN balances, transactions and value of the portfolio online.]	<input type="checkbox"/> No

**\* Details For First Holder**

Date of Birth	____	____	____	____	____	____	____	( D D M M Y Y Y Y )
Nationality	<input type="checkbox"/> Indian <input type="checkbox"/> Others (specify) _____							
Sex	<input type="checkbox"/> Male <input type="checkbox"/> Female							
Occupation	Service { <input type="checkbox"/> Central Govt. <input type="checkbox"/> State Govt. <input type="checkbox"/> Public / Private Sector <input type="checkbox"/> NGO <input type="checkbox"/> Statutory Body } <input type="checkbox"/> Professional <input type="checkbox"/> Business <input type="checkbox"/> Student <input type="checkbox"/> Retired <input type="checkbox"/> Housewife <input type="checkbox"/> Others (Specify) _____							
Nature of Business : (Products / Services Provided)								
Financial Details :	Income Range per annum : <input type="checkbox"/> Up to Rs. 1,00,000 <input type="checkbox"/> Rs. 1,00,001 to Rs. 2,00,000 <input type="checkbox"/> Rs. 2,00,001 to Rs. 5,00,000 <input type="checkbox"/> More than Rs. 5,00,000							

F7 \_\_\_\_\_

S7 \_\_\_\_\_

T7 \_\_\_\_\_

ES  
SG  
T6

**Details For Joint Second Holder**

Date of Birth										(D D M M Y Y Y Y)
Nationality	<input type="checkbox"/> Indian <input type="checkbox"/> Others (specify)									
Sex	<input type="checkbox"/> Male <input type="checkbox"/> Female <input type="checkbox"/> Corporate									
Occupation	Service { <input type="checkbox"/> Central Govt. <input type="checkbox"/> State Govt. <input type="checkbox"/> Public / Private Sector <input type="checkbox"/> NGO <input type="checkbox"/> Statutory Body } <input type="checkbox"/> Professional <input type="checkbox"/> Business <input type="checkbox"/> Student <input type="checkbox"/> Retired <input type="checkbox"/> Housewife <input type="checkbox"/> Other (Specify) -----									
Nature of Business : (Products / Services Provided)										

**Details For Joint Third Holder**

Date of Birth										(DD MM YYYY)
Nationality	<input type="checkbox"/> Indian <input type="checkbox"/> Others (specify)									
Sex	<input type="checkbox"/> Male <input type="checkbox"/> Female <input type="checkbox"/> Corporate									
Occupation	Service { <input type="checkbox"/> Central Govt. <input type="checkbox"/> State Govt. <input type="checkbox"/> Public / Private Sector <input type="checkbox"/> NGO <input type="checkbox"/> Statutory Body } <input type="checkbox"/> Professional <input type="checkbox"/> Business <input type="checkbox"/> Student <input type="checkbox"/> Retired <input type="checkbox"/> Housewife <input type="checkbox"/> Other (Specify) -----									
Nature of Business : (Products / Services Provided)										

**Details of Guardian**


First Name											
Middle Name											
Last Name / Search Name											
Relationship with the applicant											
Correspondence Address											
City						State					
Country						PIN					
Telephone No.	Fax No					Mobile No					
PAN											
E-mail ID											

**For NRI s**

Foreign Address											
City						State					
Country						PIN					

----- (Perforated Card) -----

DP ID	1	2	0	3	6	2	0	0	Client ID							
-------	---	---	---	---	---	---	---	---	-----------	--	--	--	--	--	--	--

	First/Sole Holder	Second Holder	Third Holder
Name			
Specimen Signatures	 F9	S9	T9



# INANI SECURITIES LTD.

G-15, Raghav Ratna Towers, Chirag Ali Lane, Hyderabad - 500 001.

SEBI REGN NO : IN-DP-CDSL-269-2004.

Ph : 23201279, Fax :23200969, E-mail : info@inanisec.in Visit us at : www. inansec.in

## NOMINATION FORM

Dear Sir/ Madam.

**I/We the sole holder / Joint holders / Guardian (in case of minor) hereby declare that :**

**I/We do not wish to Nominate any one for this demat account**

(Strike out what is not applicable.) (Signature of all account holders should be obtained on this form)

I/We nominate the following person who is entitled to receive security balances lying in my / our account, particulars where of are given below, in the event of the death of the Sole holder or the death of all the Joint Holders.

### BO Account Details

DP ID	1	2	0	3	6	2	0	0	Client ID									
Name of the Sole / First Holder																		
Name of Second Holder																		
Name of Third Holder																		

### Nominee details

First Name																		
Middle Name																		
Last Name																		
Father / Husband Name																		
Address																		
City										State								
Country										Pin								
Telephone No.										Fax No.								
E-mail ID																		
Relationship with BO (if any)																		
Date of birth (If nominee is a minor)																		

**As the nominee is a minor as on date, I/We appoint following person to act as guardian :**

First Name																		
Middle name																		
Last Name																		
Address																		
City										State								
Country										PIN								
Age																		

to receive the securities in this account on behalf of the nominee in the event of the death of the Sole holder / all Joint holders. This nomination is in accordance with the **section 109 A of the companies act, 1956**, and shall supersede any prior nomination made by me / us and also any testamentary document executed by me / us.

Place : \_\_\_\_\_

Date : \_\_\_\_\_

	First / Sole Holder	Second Holder	Third Holder
Name			
Specimen Signature <b>X</b>	F10	S10	T10

**Note : Two witnesses shall attest signature (s) / Thumb impressions (s).**

**Details of the Witness**

	First Witness	Second Witness
Name of Witness		
Address of Witness		
Signature of Witness		

(To be Filled By DP)

Nomination Form accepted and registered wide Registration No. \_\_\_\_\_ Date \_\_\_\_\_

For Depository Participant  
(Authorised Signatory)

**For office use Only**

Proof of Identify	<input type="checkbox"/> Passport <input type="checkbox"/> PAN - Card <input type="checkbox"/> Driving Licence <input type="checkbox"/> Voter's Identity Card
Proof of Address	<input type="checkbox"/> Passport <input type="checkbox"/> Bank a/c Statement <input type="checkbox"/> Driving Licence <input type="checkbox"/> Voter's Identity Card

I Verify that account opening form is in order

Name

Signature

Entered by	Verified by	Signature Scanned by
------------	-------------	----------------------

## **AGREEMENT BETWEEN A PARTICIPANT AND A PERSON SEEKING TO OPEN A BENEFICIAL OWNER'S ACCOUNT**

This Agreement made and entered into at \_\_\_\_\_ this \_\_\_\_\_ day of \_\_\_\_\_, between M/s. INANI SECURITIES LTD, having its registered office at G-15, Raghav Ratna Towers, Chirag Ali Lane, Hyderabad-500001, hereinafter called "**the Participant**" of the One Part; AND Mr./Mrs./M/s. \_\_\_\_\_, having his/ its office/ registered office/ address at \_\_\_\_\_, hereinafter called "**the Beneficial Owner**" of the Other Part.

**WHEREAS** the Beneficial Owner is desirous of opening a beneficial owner's account with the Participant and the Participant has agreed to open an account in the name of the Beneficial Owner and render services to the Beneficial Owner as a participant, on the terms and conditions recorded hereinbelow :

NOW THIS AGREEMENT WITNESSETH AND IT IS HEREBY AGREED BY AND BETWEEN THE PARTIES HERETO AS FOLLOWS :

### **General Clause**

1. The parties hereto agree to abide by the provisions of the Depositories Act, 1996, SEBI (Depositories and Participants) Regulations, 1996, Bye Laws and Operating Instructions issued by CDSL from time to time in the same manner and to the same extent as if the same were set out herein and formed part of this Agreement.

### **Fees, Charges and deposits**

2. The Participant shall be entitled to change or revise the fees, charges or deposits from time to time provided however that no increase therein shall be effected by the Participant unless the Participant shall have given at least one month's notice in writing to the Beneficial Owner in that behalf. In case the Beneficial Owner committing a default in the payment of any such amount payable to the Participant on their respective due dates or within fifteen days of the same being demanded (where no such due date is specified), the Participant shall be entitled to charge interest on the amount remaining outstanding or unpaid [not exceeding 18%] per annum or part thereof. On such continued default, the Participant after giving two days notice to the Beneficial Owner shall have a right to stop processing of instructions of Beneficial Owners till such time he makes the payment along with interest if any.

### **Statement of Account**

- 3.1 The participant shall furnish to the Beneficial Owner a statement of his/its account at the end of every month if there has been even a single entry or transaction during that month, and in any event once at the end of each quarter. The statement of accounts to be provided to the Beneficial Owner under this Agreement shall be in the form specified in Operating Instructions or as communicated by CDSL from time to time. The Participant shall furnish such statements at such shorter periods as may be required by the Beneficial Owner on payment of such charges by the Beneficial Owner as may be specified by the Participant.
- 3.2 It is agreed that if a Participant is providing the services of issuing the statement of accounts in an electronic mode and if the Beneficial Owner is desirous of availing such services from the Participant, then the Participant will furnish to the Beneficial Owner the statement of accounts under its digital signature, as governed under the Information Technology Act, 2000. Provided however that in the event of the Beneficial Owner requiring a physical copy of the statement of account, despite receiving the same in the electronic mode, then irrespective of having forwarded the same via electronic mode, the Participant shall be obliged to provide a physical copy thereof to the BO.
- 3.3 It is agreed and understood between the parties that if the Participant does not have the facility of providing the statement of account only in the electronic mode, then the Participant shall be obliged to forward the statement of account only in physical form.

### **Beneficial Owner shall intimate change of particulars**

4. The Participant shall not be liable or responsible for any loss that may be caused to the Beneficial Owner by reason of his/its failure to intimate change in the particulars furnished to the Participant from time to time, unless notified by the Beneficial Owner.

**Depository not liable for claims against Beneficial Owner**

- 5. CDSL shall not be liable to the Beneficial Owner in any manner towards losses, liabilities and/or expenses arising from the claims of third parties or for any fees, charges, taxes, duties, levies or penalties levied, imposed or demanded by any Central, State, statutory or revenue authority in respect of securities credited to the Beneficial Owner's account.

**Authorised Representative**

- 6. Where the Beneficial Owner is a body corporate or a legal entity, it shall, simultaneously with the execution of the Agreement furnish to the Participant, a list of officials authorized by it, who shall represent and interact on its behalf with the Participant. Any change in such list including additions, deletions or alterations thereto shall be forthwith communicated to the Participant.

**Closure of Account**

- 7. The Beneficial Owner, may, at any time terminate this Agreement by calling upon the Participant to close his/its account with the Participant in the manner and on the terms and conditions set out in the Bye Laws and the procedure laid down in the Operating Instructions. In the event of termination of this Agreement the Beneficial Owner shall either get the securities transferred to some other account or get the same rematerialised.  
document or writing executed in pursuance hereof between the parties hereto shall be borne and paid by the Beneficial Owner.

**Force Majeure**

- 9. Notwithstanding anything contained herein or in the Bye Laws, neither party hereto shall be liable to indemnify or compensate the other for any breach, non-performance or delay in performance of any obligations under the Agreement or for any harm, loss, damage or injury caused to the other due to causes reasonably beyond its control including but not limited to tide, storm, cyclone, flood, lightning, earthquake, fire, blast, explosion or any other act of God, war, rebellion, revolution, insurrection, embargo or sanction, blockade, riot, civil commotion, labour action or unrest including strike, lock-out or boycott, interruption or failure of any utility service, enemy action, criminal conspiracy, act of terrorism or vandalism, sabotage, or intrusion, or any other irresistible force or compulsion.

**Service of Notice**

- 10. Any notice or communication required to be given under the Agreement shall not be binding unless the same is in writing and shall have been served by delivering the same at the address set out hereinabove against a written acknowledgement of receipt thereof or by sending the same by pre-paid registered post at the aforesaid address or transmitting the same by facsimile transmission, electronic mail or electronic data transfer at number or address that shall have been previously specified by the party to be notified. Notice given by personal delivery shall be deemed to be given at the time of delivery. Notice given by post in accordance with this clause shall be deemed to be given at the commencement of business of the recipient of the notice on the third working day next following its posing. Notice sent by facsimile transmission, electronic mail or electronic data transfer shall be deemed to be given at the time of its actual transmission.

**Arbitration**

- 11. The parties hereto shall, in respect of all disputes and differences that may arise between them, abide by the provisions relating to arbitration and conciliation specified under the Bye Laws.

**Jurisdiction**

- 12. The parties hereto agree to submit to the exclusive jurisdiction of the courts in Hyderabad.

**Governing Law**

- 13. The Agreement shall be governed by and construed in accordance with the laws in force in India.

IN WITNESS WHEREOF the parties hereto have hereunto set and subscribed their respective hands/seals to this Agreement in duplicate on the day, month, year and place first hereinabove mentioned.

SIGNED AND DELIVERED  
by the within named the  
**Participant**  
**INANI SECURITIES LTD**  
by the hand of its Authorised  
Signatory

 F13

S13

T13

SIGNED AND DELIVERED  
by the within named the  
**Beneficial Owner**

In the Presence of

In the Presence of  
Signature :

Signature :

Name :  
Address :

Name :  
Address :



# INANI SECURITIES LTD.

G-15, Raghav Ratna Towers, Chirag Ali Lane, Hyderabad - 500 001.

SEBI REGN NO : IN-DP-CDSL-269-2004.

Ph : 23201279, Fax : 23200969, E-mail : info@inanisec.in Visit us at : www.inanisec.in

## TARIFF FOR BENEFICIARY ACCOUNTS

SI No.	Particulars	SCHEME-A Investor	SCHEME-B Active Investor	SCHEME-C Small Investor	SCHEME-D Small Investor
1.	A/c Opening	Free	Free	Free	Free
2.	Documentation charges	Rs. 50/-	Rs. 50/-	Rs. 50/-	Rs. 50/-
3.	Account Maintenance	Rs. 250/-	Rs. 1000/-	Rs. 125/-	Rs. 750/-
4.	Upfront Payment	Rs. 500/-	Rs. 2000/-	Rs. 200/-	Rs. 1500/-
5.	Purchase Per Transaction (On / Off Market)	Free	Free	Free	Free
6.	Sale Per Transaction (On / Off Market)	0.03% (Min. Rs. 10/- Max. Rs. 25/-)	0.02% (Min (Min. Rs.5/- Max. Rs. 20/-)	0.03% +(Min. Rs. 10/- Max. Rs. 50/-)	Rs. 15/- (Off-Market) CDSL Charges (on Market)
7.	Instruction Failure	Rs. 15/-	Rs. 15/-	Rs. 15/-	Rs. 15/-
8.	Custody Charges	Free	Free	Free	Free
<b>DEMATERIALIZATION</b>					
9.	Dematerialisation Per Certificate	Rs. 2/-	Rs. 2/-	Rs. 2/-	Rs. 2/-
10.	Courier Charges Per ISIN	Rs. 25/- or Courier charges whichever is more	Rs. 25/- or Courier charges whichever is more	Rs. 25/- or Courier charges whichever is more	Rs. 25/- or Courier charges whichever is more
11.	Demat Rejection	Rs. 25/- or Courier+ charges whichever is more	Rs. 25/- or Courier+ charges whichever is more	Rs. 25/- or Courier+ charges whichever ismore	Rs. 25/- or Courier+ charges whichever is more
12.	Remat	Rs.15/- for every100 Security or part there of+ Courier charges	Rs.15/- for every100 Security or part there of + Courier charges	Rs.15/- for every100 Security or part there of + Courier charges	Rs.15/- for every100 Security or part there of + Courier charges
<b>PLEDGE - PER ISIN-TO PLEDGOR</b>					
13.	Creation	0.02%Plus CDSL Charges	0.02%Plus CDSL Charges	0.02%Plus CDSL Charges	0.02%Plus CDSL Charges
14.	Closure	0.02%Plus CDSL Charges	0.02%Plus CDSL Charges	0.02%Plus CDSL Charges	0.02%Plus CDSL Charges
15.	Invocation	Rs. 50/-	Rs. 50/-	Rs. 50/-	Rs. 50/-

- The value of share and charges is calculated as per CDSL formula and rates.
- The rates hereinto are subject to revision by CDSL from time to time. The above charges include CDSL Charges
- The above charges are exclusive of Service tax which is currently @ 10.30% and other levies levied by Government bodies / statutory authority from time to time.
- Rs. 500/- Per Annum will be charged for Corporate Accounts as charged by CDSL.
- BO has to Deposit Rs. 500/- for SCHEME-A and Rs. 1,000/- for SCHEME-B if Balance fall below Rs. 100/- towards future Transaction Charges. Service will be suspended if same reduces to Re. 1/-.
- Accounts under SCHEME-C&D has to pay for the services Upfront.
- Interest on outstanding amount will be 15% Per Annum.
- There will be charge Rs. 100/- for Dis-honour of any Cheque
- Billing will be done on Monthly Basis.
- Statement of Transaction will be sent to A/c holders on Monthly Basis at the end of every Month.
- Rs. 2/- Per page will be charged for extra statement / detailed Bills.
- Postal Charges Extra.

Scheme : \_\_\_\_\_

F14 \_\_\_\_\_  
Signature of Sole/ First Holder

S14 \_\_\_\_\_  
Signature of Second Holder

T14 \_\_\_\_\_  
Signature of Third Holder



# INANI SECURITIES LTD.

G-15, Raghav Ratna Towers, Chirag Ali Lane, Hyderabad - 500 001.

SEBI REGN NO : IN-DP-CDSL-269-2004.

Ph : 23201279, Fax : 23200969

E-mail : info@inanisec.in Visit us at : www.inanisec.in

## **Terms And Conditions-Cum-Registration / Modification Form for receiving SMS Alerts from CDSL Definitions:**

In these Terms and Conditions the terms shall have following meaning unless indicated otherwise:

1. Depository means Central Depository Services (India) Limited a company incorporated in India under the Companies Act 1956 and having its registered office at 17th Floor, P.J.Towers, Dalal Street, Fort, Mumbai-400023 and all its branch offices and includes its successors and assigns.
2. "DP" means Depository participant of CDSL. the term covers all types D.Ps who allowed to open demat accounts for investors.
3. "BO" means an entity that has opened a Demat account with the depository. The term covers all types of demat accounts, which can be opened with a depository as specified by the depository from time to time.
4. SMS means "Short Messaging Service"
5. "Alerts" means a customised SMS sent to the BO over the said mobile phone number.
6. "Service Provider" means a cellular service provider(s) with whom the depository has entered / will be entering into an arrangement for providing the SMS alerts to the BO.
7. "Service" means the service of providing SMS alerts to the BO best effort basis as per these terms and conditions

### **Availability :**

1. The service will be provided BO at his/her request and at the discretion of the depository. The service will be available to those account holders who have provided their Mobile numbers to the depository through their DP. The services may be discontinued for a specific period/ indefinite period, with or without issuing prior notice for the purpose of security reasons or system maintenance or for such other reasons as may be warranted. The depository may also discontinue the service at any time without giving prior notice for any reason whatsoever.
2. The service is currently available to the BOs who are residing in India.
3. The alerts will be provided to the BOs only if they remain within range of the service provider's service area or within the range of the roaming network of the service provider
4. In case of joint accounts and non-individual accounts the service will be available, only to one mobile number i.e. to the mobile number as submitted at the time of registration/modification.
5. The BO is responsible for promptly intimating to the depository in the prescribed manner any change in the mobile number, or loss of handset, on which the BO wants to receive the alerts from the depository. In case of change in mobile number not intimated to the depository, the SMS alerts will continue to be sent to the last registered mobile number. The BO agrees to indemnify the depository for any loss or damage suffered by it on account of SMS alerts sent on such mobile number.

## Receiving Alerts :

1. The depository shall send the alerts to the mobile numbers provided by the BO while registering for the service or to any such number replace and informed by the BO from time to time. Upon such registration/change, the depository shall make every effort to update the change in mobile number within a reasonable period of time. The depository shall not be responsible for any event of delay or loss of message in this regard.
2. The BO acknowledges that the alerts will be received only if the mobile phone is in "ON" and in a mode to receive the SMS. If the mobile phone is "OFF" mode i.e. unable to receive the alerts then the BO may not get / get after delay any alerts sent during such period.
3. The BO also acknowledges that the readability, accuracy timeliness of providing the service depend on many factors including the infrastructure, connectivity of the service provider. The depository shall not be responsible for any non-delivery, delayed delivery of distortion of the alert whatsoever.
4. The BO further acknowledges that the service provided to him is an additional facility provided for his convenience and his susceptible to error, omission and / or inaccuracy. In case the BO observe any error in the information provided in the alerts, the BO shall inform the depository and / or the DP immediately in writing and the depository will make best possible efforts incurred / suffered by the BO on account of opting to avail SMS alerts facility.
5. The BO authorizes the depository to send any message such as promotional, greeting or any other message that the depository may consider appropriate, to the BO. The BO agrees to an on going confirmation for use of a name, email address and mobile number for marketing offers between CDSL and any other entity.
6. **The BO agrees to in for the depository and DP in writing of any unauthorized debit to his BO account / unauthorized transfer of securities from his BO account, imediately which to his knowledge on receiving SMS alerts. The BO may send anemail to CDSL at [complaints@cdslindia.com](mailto:complaints@cdslindia.com). The BO is advised not to inform the service provider about any such unauthorized debit to / transfer of security from his BO account by sending SMS back to the service provider as there is no reverse communication between the service provider and the depository**
7. The information sent as an alert on the mobile number shall be demed to have been received by the BO and the depository shall not be under any obligation to inform the authenticity of the person(s) receiving the alert.
8. The depository will make best efforts to provide the service. The BO can not hold the depository liable for non-availability of the service in any manner whatsoever.
9. The BO finds that the information such as mobile number etc.,. Has been changed without any proper organisation, the BO should immediately inform the DP in writing.

**Fees:**

Depository reserves the right to change such fees from time to time as it deems fit for providing the service to the BO.

**Disclaimer:**

The depository shall make responsible effort to ensure that the BO's personal information his kept confidential. The depository does not warranty confidentiality or security of the SMS alerts transmitted through service provider. Further the depository makes no warranty or representation of any kind in relation to the system and the network or their function or their performance or for any loss or damage whenever and howsoever suffered or incurred by the BO or by any person resulting from or in connection with availing of SMS alerts facility. The depository gives no warranty with respect to the quality of the service by the service provider. The depository will not be liable for any unauthorized use or access to the information and/or SMS alert sent on the mobile phone number of the BO or for fraudulent, duplicate or erroneous use/ misuse of such information by any third person.

**Liability and indemnity:**

The Depository shall not be liable for any breach of confidentiality by the service provider or by any third person due to unauthorized access to the information meant for the Bo. In consideration of the depository providing the service, the Bo agrees to indemnify and keep safe, harmless and indemnified the depository and its officials from any damages, claims, demands, proceedings, loss, cost, changes and express whatsoever which a depository may at any time incur, sustain, suffer or be put to as a consequence of or arising out of interference with or misuse, improper or fraudulent use of the service by the Bo.

**Amendments:**

The depository may amend the terms and conditions at any time with or without giving any prior notice to the BOs. Any such amendments shall be binding on the BOs who are already registered as user of this service.

**Governing Law and Jursidiction:**

Providing the service as outlined above shall be governed by the laws of India and will be subject to the exclusive jurisdiction of the courts in Mumbai.

I/We wish to avail the SMS Alerts faclity provided by the depository on my/our mobile number provided in the registration from subject to the depository from time to time.I/we further undertake to pay fee/ charges as may be levied by the depository from time to time.

I/we further understand that the SMS alerts would be sent for a maximum four ISINs at a time. If more than four debits take place, the Bos would be required to take up the matter with DP.

I/We am/ are aware that mere acceptance of the registration form does not imply in any way that request has been accepted by the depository for providing the service.

I/We provide the following information for the purpose of REGISTRATION/MODIFICATION [Please cancel out what is not applicable.]

**BOID**

1	2	0	3	6	2	0	0										
---	---	---	---	---	---	---	---	--	--	--	--	--	--	--	--	--	--

(Please write your 8 digit DPID)

(Please write your 8 Client DPID)

Sole / First Holder's Name : \_\_\_\_\_

Second Holder's Name : \_\_\_\_\_

Third Holder's Name : \_\_\_\_\_

Mobile Number on which Messages are to be sent 

+91																	
-----	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--

(Please write only the mobile number without prefixing country code or zero)

The mobile number is registered in the name of \_\_\_\_\_

Email ID; \_\_\_\_\_

(Please write only ONE valid email ID on which communication, if any, is to be sent)

 F17 \_\_\_\_\_

Sole/First Holder

S17 \_\_\_\_\_

Second Holder

T17 \_\_\_\_\_

Third Holder

Place : \_\_\_\_\_

Date.....

To

Inani Securities Ltd.,  
G-15, Raghav Ratna Towers,  
Chirag Ali Lane,  
Hyderabad - 500 001.

Dear Sir / Madam,

Sub : Authorisation to debit Charges to Trading Account towards maintaing my/our Demat account with you-Reg.

I/We, \_\_\_\_\_ maintaining my/our trading account with Inani Securities Ltd., and my/our Unique Client Code allotted is \_\_\_\_\_ . (Trading Code)

As I/We am/are maintaining my/our running accounting with you, I authorize you to debit / adjust from my/our trading account, all DP transaction charges, AMC charges or any other charges payable by me/we towards maintaining my/our Demat account with you. Demat account No. \_\_\_\_\_

Please consider this request as one time authorization given to you and do the needful.

With Regards,

Yours faithfully,

 F18 \_\_\_\_\_

S18 \_\_\_\_\_

T18 \_\_\_\_\_

Signature of Client

Client Name :

## AGREEMENT BETWEEN A PARTICIPANT AND A PERSON SEEKING TO OPEN A BENEFICIAL OWNER'S ACCOUNT

This Agreement made and entered into at \_\_\_\_\_ this \_\_\_\_\_ day of \_\_\_\_\_, between M/s. INANI SECURITIES LTD, having its registered office at G-15, Raghav Ratna Towers, Chirag Ali Lane, Hyderabad-500001, hereinafter called "**the Participant**" of the One Part; AND Mr./Mrs./M/s. \_\_\_\_\_, having his/ its office/ registered office/ address at \_\_\_\_\_, hereinafter called "**the Beneficial Owner**" of the Other Part.

**WHEREAS** the Beneficial Owner is desirous of opening a beneficial owner's account with the Participant and the Participant has agreed to open an account in the name of the Beneficial Owner and render services to the Beneficial Owner as a participant, on the terms and conditions recorded hereinbelow :

NOW THIS AGREEMENT WITNESSETH AND IT IS HEREBY AGREED BY AND BETWEEN THE PARTIES HERETO AS FOLLOWS :

### General Clause

1. The parties hereto agree to abide by the provisions of the Depositories Act, 1996, SEBI (Depositories and Participants) Regulations, 1996, Bye Laws and Operating Instructions issued by CDSL from time to time in the same manner and to the same extent as if the same were set out herein and formed part of this Agreement.

### Fees, Charges and deposits

2. The Participant shall be entitled to change or revise the fees, charges or deposits from time to time provided however that no increase therein shall be effected by the Participant unless the Participant shall have given at least one month's notice in writing to the Beneficial Owner in that behalf. In case the Beneficial Owner committing a default in the payment of any such amount payable to the Participant on their respective due dates or within fifteen days of the same being demanded (where no such due date is specified), the Participant shall be entitled to charge interest on the amount remaining outstanding or unpaid [not exceeding 18%] per annum or part thereof. On such continued default, the Participant after giving two days notice to the Beneficial Owner shall have a right to stop processing of instructions of Beneficial Owners till such time he makes the payment along with interest if any.

### Statement of Account

- 3.1 The participant shall furnish to the Beneficial Owner a statement of his/its account at the end of every month if there has been even a single entry or transaction during that month, and in any event once at the end of each quarter. The statement of accounts to be provided to the Beneficial Owner under this Agreement shall be in the form specified in Operating Instructions or as communicated by CDSL from time to time. The Participant shall furnish such statements at such shorter periods as may be required by the Beneficial Owner on payment of such charges by the Beneficial Owner as may be specified by the Participant.
- 3.2 It is agreed that if a Participant is providing the services of issuing the statement of accounts in an electronic mode and if the Beneficial Owner is desirous of availing such services from the Participant, then the Participant will furnish to the Beneficial Owner the statement of accounts under its digital signature, as governed under the Information Technology Act, 2000. Provided however that in the event of the Beneficial Owner requiring a physical copy of the statement of account, despite receiving the same in the electronic mode, then irrespective of having forwarded the same via electronic mode, the Participant shall be obliged to provide a physical copy thereof to the BO.
- 3.3 It is agreed and understood between the parties that if the Participant does not have the facility of providing the statement of account only in the electronic mode, then the Participant shall be obliged to forward the statement of account only in physical form.

**Beneficial Owner shall intimate change of particulars**

4. The Participant shall not be liable or responsible for any loss that may be caused to the Beneficial Owner by reason of his/its failure to intimate change in the particulars furnished to the Participant from time to time, unless notified by the Beneficial Owner.

**Depository not liable for claims against Beneficial Owner**

5. CDSL shall not be liable to the Beneficial Owner in any manner towards losses, liabilities and/or expenses arising from the claims of third parties or for any fees, charges, taxes, duties, levies or penalties levied, imposed or demanded by any Central, State, statutory or revenue authority in respect of securities credited to the Beneficial Owner's account.

**Authorised Representative**

6. Where the Beneficial Owner is a body corporate or a legal entity, it shall, simultaneously with the execution of the Agreement furnish to the Participant, a list of officials authorized by it, who shall represent and interact on its behalf with the Participant. Any change in such list including additions, deletions or alterations thereto shall be forthwith communicated to the Participant.

**Closure of Account**

7. The Beneficial Owner, may, at any time terminate this Agreement by calling upon the Participant to close his/its account with the Participant in the manner and on the terms and conditions set out in the Bye Laws and the procedure laid down in the Operating Instructions. In the event of termination of this Agreement the Beneficial Owner shall either get the securities transferred to some other account or get the same rematerialised.

**Stamp Duty**

8. Any stamp duty (including interest or penalty levied thereon) payable on the Agreement and/or on any deed, document or writing executed in pursuance hereof between the parties hereto shall be borne and paid by the Beneficial Owner.

**Force Majeure**

9. Notwithstanding anything contained herein or in the Bye Laws, neither party hereto shall be liable to indemnify or compensate the other for any breach, non-performance or delay in performance of any obligations under the Agreement or for any harm, loss, damage or injury caused to the other due to causes reasonably beyond its control including but not limited to tide, storm, cyclone, flood, lightning, earthquake, fire, blast, explosion or any other act of God, war, rebellion, revolution, insurrection, embargo or sanction, blockade, riot, civil commotion, labour action or unrest including strike, lock-out or boycott, interruption or failure of any utility service, enemy action, criminal conspiracy, act of terrorism or vandalism, sabotage, or intrusion, or any other irresistible force or compulsion.

**Service of Notice**

10. Any notice or communication required to be given under the Agreement shall not be binding unless the same is in writing and shall have been served by delivering the same at the address set out hereinabove against a written acknowledgement of receipt thereof or by sending the same by pre-paid registered post at the aforesaid address or transmitting the same by facsimile transmission, electronic mail or electronic data transfer at number or address that shall have been previously specified by the party to be notified. Notice given by personal delivery shall be deemed to be given at the time of delivery. Notice given by post in accordance with this clause shall be deemed to be given at the commencement of business of the recipient of the notice on the third working day next following its posting. Notice sent by facsimile transmission, electronic mail or electronic data transfer shall be deemed to be given at the time of its actual transmission.

**Arbitration**

11. The parties hereto shall, in respect of all disputes and differences that may arise between them, abide by the provisions relating to arbitration and conciliation specified under the Bye Laws.

**Jurisdiction**

12. The parties hereto agree to submit to the exclusive jurisdiction of the courts in Hyderabad.

**Governing Law**

13. The Agreement shall be governed by and construed in accordance with the laws in force in India.

IN WITNESS WHEREOF the parties hereto have hereunto set and subscribed their respective hands/seals to this Agreement in duplicate on the day, month, year and place first hereinabove mentioned.

SIGNED AND DELIVERED

by the within named the

**Participant**

**INANI SECURITIES LTD**

by the hand of its authorised representative

F22

S22

T22

In the Presence of

Signature :

Name :

Address :

SIGNED AND DELIVERED

by the within named the

**Beneficial Owner**

In the Presence of

Signature :

Name :

Address :



# INANI SECURITIES LTD.

G-15, Raghav Ratna Towers, Chirag Ali Lane, Hyderabad - 500 001.

SEBI REGN NO : IN-DP-CDSL-269-2004.

Ph : 23201279, Fax : 23200969, E-mail : info@inanisec.in Visit us at : www.inanisec.in

## TARIFF FOR BENEFICIARY ACCOUNTS

SI No.	Particulars	SCHEME-A Investor	SCHEME-B Active Investor	SCHEME-C Small Investor	SCHEME-D Small Investor
1.	A/c Opening	Free	Free	Free	Free
2.	Documentation charges	Rs. 50/-	Rs. 50/-	Rs. 50/-	Rs. 50/-
3.	Account Maintenance	Rs. 250/-	Rs. 1000/-	Rs. 125/-	Rs. 750/-
4.	Upfront Payment	Rs. 500/-	Rs. 2000/-	Rs. 200/-	Rs. 1500/-
5.	Purchase Per Transaction (On / Off Market)	Free	Free	Free	Free
6.	Sale Per Transaction (On / Off Market)	0.03% (Min. Rs. 10/- Max. Rs. 25/-)	0.02% (Min (Min. Rs.5/- Max. Rs. 20/-)	0.03% +(Min. Rs. 10/- Max. Rs. 50/-)	Rs. 15/- (Off-Market) CDSL Charges (on Market)
7.	Instruction Failure	Rs. 15/-	Rs. 15/-	Rs. 15/-	Rs. 15/-
8.	Custody Charges	Free	Free	Free	Free
<b>DEMATERIALIZATION</b>					
9.	Dematerialisation Per Certificate	Rs. 2/-	Rs. 2/-	Rs. 2/-	Rs. 2/-
10.	Courier Charges Per ISIN	Rs. 25/- or Courier charges whichever is more	Rs. 25/- or Courier charges whichever is more	Rs. 25/- or Courier charges whichever is more	Rs. 25/- or Courier charges whichever is more
11.	Demat Rejection	Rs. 25/- or Courier+ charges whichever is more	Rs. 25/- or Courier+ charges whichever is more	Rs. 25/- or Courier+ charges whichever ismore	Rs. 25/- or Courier+ charges whichever is more
12.	Remat	Rs.15/- for every100 Security or part there of+ Courier charges	Rs.15/- for every100 Security or part there of + Courier charges	Rs.15/- for every100 Security or part there of + Courier charges	Rs.15/- for every100 Security or part there of + Courier charges
<b>PLEDGE - PER ISIN-TO PLEDGOR</b>					
13.	Creation	0.02%Plus CDSL Charges	0.02%Plus CDSL Charges	0.02%Plus CDSL Charges	0.02%Plus CDSL Charges
14.	Closure	0.02%Plus CDSL Charges	0.02%Plus CDSL Charges	0.02%Plus CDSL Charges	0.02%Plus CDSL Charges
15.	Invocation	Rs. 50/-	Rs. 50/-	Rs. 50/-	Rs. 50/-

- The value of share and charges is calculated as per CDSL formula and rates.
- The rates hereinto are subject to revision by CDSL from time to time. The above charges include CDSL Charges
- The above charges are exclusive of Service tax which is currently @ 10.30% and other levies levied by Government bodies / statutory authority from time to time.
- Rs. 500/- Per Annum will be charged for Corporate Accounts as charged by CDSL.
- BO has to Deposit Rs. 500/- for SCHEME-A and Rs. 1,000/- for SCHEME-B if Balance fall below Rs. 100/- towards future Transaction Charges. Service will be suspended if same reduces to Re. 1/-.
- Accounts under SCHEME-C&D has to pay for the services Upfront.
- Interest on outstanding amount will be 15% Per Annum.
- There will be charge Rs. 100/- for Dis-honour of any Cheque
- Billing will be done on Monthly Basis.
- Statement of Transaction will be sent to A/c holders on Monthly Basis at the end of every Month.
- Rs. 2/- Per page will be charged for extra statement / detailed Bills.
- Postal Charges Extra.

Scheme : \_\_\_\_\_

F23 \_\_\_\_\_  
Signature of Sole/ First Holder

S23 \_\_\_\_\_  
Signature of Second Holder

T23 \_\_\_\_\_  
Signature of Third Holder



# INANI SECURITIES LTD.

G-15, Raghav Ratna Towers, Chirag Ali Lane, Hyderabad - 500 001.

SEBI REGN NO : IN-DP-CDSL-269-2004.

Ph. : 23201279, Fax : 23200969

E-mail : info@inanisec.in Visit us at : www.inanisec.in

Investor Grievance Email ID : investors@inanisec.in

## Acknowledgement Receipt

Received nomination request from :

DP ID	1	2	0	3	6	2	0	0	Client ID									
Name																		
Address																		
Nomination in favour of																		
Registration No.								Registered on										

Depository Participant Seal and Signature

Specimen Signature for client's Record

	First / Sole Holder	Second Holder	Third Holder
Name			
Specimen Signature X	F24	S24	T24

## Acknowledgement Receipt

Application No. :

Date :

We hereby acknowledge the receipt of the Account Opening Application Form :

Name of the Sole / First Holder	
Name of the Second joint Holder	
Name of the Third jointHolder	

Depository Participant Seal and Signature

## Power of Attorney

This Power of attorney is executed on this.....day of..... **X**  
between:

Mr./Mrs./M/s.....

S/o/D/o /W/o .....

residing at/having Registered Office at.....

..... **X**  
.....of the One Part,

**AND**

M/s.Inani Securities Ltd., a Company duly incorporated under the Companies Act,1956 and having its Registered Office at G-15, Raghava Ratna Towers, Chirag Ali Lane, Hyderabad - 500001 as the **Second Part**,

### RECITALS

WHEREAS INANI SECURITIES LTD. is providing various securities related services which inter alia includes but not limited to transaction in connection with purchase or sale of securities scripts, stocks etc. Whereas INANI SECURITIES LTD. is a member of Bombay Stock Exchange Ltd, bearing SEBI registration no . INB 10857135, member of National Stock Exchange of India Limited bearing SEBI registration no INB/INF/INE230857131.

WHEREAS I/We hold a Beneficiary Account, bearing Client\_\_\_\_\_, BO ID.. 12036200\_\_\_\_\_with Inani Securities Ltd., a Depository Participant with CDSL DP ID - 036200 (hereinafter referred to as "INANI SECURITIES LTD. (DP)") in order to avail the various services being offered by INANI SECURITIES LTD.,

NOW THESE PRESENTS WITNESSES THAT I/We do hereby jointly and severally nominate, constitute and appoint M/s INANI SECURITIES LTD., acting through their respective Director(s), employees or such other person as may be authorized by them by way of Resolution, whether passed in a meeting of the Board of Directors or any Committee formed by the Board, for the aforesaid and various other purposes ,as my/our true and lawful attorney to do, execute and perform severally the following acts,deeds, matters and things:

1. To operate the specified aforementioned Beneficiary Account and issue instructions relating to demat of securities and to sign and execute delivery instruction slip to effect transfer of shares / securities from my / Our beneficiary account, to execute receipt instructions, pledge instructions, pledge closure instructions and all such other instructions that may be required to facilitate the transactions undertaken by me/us pursuant to the Member Client Agreement.

2. To instruct the INANI SECURITIES LTD. (DP) to debit securities and or to transfer securities from the aforementioned Beneficiary Account to the Pool Account of INANI SECURITIES LTD. as mentioned herein blow for the purpose of delivering the same to the Clearing Corporation of aforesaid Stock Exchanges towards any segment in respect of the securities sold by me/us through them (including pay in/ pay out due to exercise of rights by INANI SECURITIES LTD. over securities considered as margin/collateral/security):

**X**

**Pool Accounts**

	NSE		BSE	
	NSDL	CDSL	NSDL	CDSL
CM-BP-ID/	IN 556451	12036200	IN609417	12036200
BO-ID	20026798	1203620000000133	12064586	120362000 0000186

3. I/We want you to consider the securities in my/our demat account as margin/collateral/ security for any and all dealings which as per your records/opinion are done / to be done / expected to be done through / with you. And to instruct the INANI SECURITIES LTD . (DP) to debit securities and / or to transfer securities from my aforementioned Beneficiary Account to the Margin Account(s) of the INANI SECURITIES LTD. as mentioned herein below and for Creating/maintaining collateral/security/margin in form of securities.

**Margin Accounts**

	<u>F&amp;O NSE</u>
DP-Id	12036200
DP Name	INANI SECURITIES LTD
CLIENT ID	12036200 00005959

4. To do all other acts deeds, things as may be required to ensure that all deliveries of securities arising out of transactions undertaken by me/us under the Agreement are duly and properly completed.
5. To do all such other acts or deeds which M/s INANI SECURITIES LTD. in its sole discretion considers necessary in this connection in order to complete the transaction(s).
6. Where by M/s INANI SECURITIES LTD. undertakes to return the securities that may have been received by them erroneously or those securities that it was not entitled to receive.
7. Whereby this Power of Attorney is revocable at any time, without notice to either party.

I DO HEREBY for myself, my heirs, executors and administrators do hereby agree to ratify, confirm and validate all and whatsoever my said Attorney shall do or purport to do or cause to be done by virtue of these presents.

This Power of Attorney shall be subject to the jurisdiction of the Courts in Hyderabad.

(Signed, Sealed and Delivered by the within Named Beneficial Owner/s)

	For HUF (Name of Co-parceners)	Signature of Co-parceners
BO1	1.	
BO2	2.	
BO3	3.	
Place: Date: In presence of (Witness Name, Address & Sign.)	4.	
We Accept <b>For Inani Securities Ltd.</b>  (Authoried Signatory)		

## **CONTACT US :**

### **Hyderabad Office :**

#### **Inani Securities Limited**

G-15, Raghava Ratna Towers, Chirag Ali Lane, Abids, Hyderabad - 500 001.

Tel : +91-40-2320 1279 / 6661 1520

#### **Dealing Room Phone**

Tel : +91-40-23204786, Mobile : +91-98663 43660, 93962 01279, Fax : +91-40-2320 3747

E-mail : [info@inanisec.in](mailto:info@inanisec.in)

### **Mumbai Office :**

B/23, 2nd Floor, Plot No.13, Wadala Shri Ram Industrial Estate,  
G.D Ambekar Marg, Wadala (W), Mumbai - 400031.

Tel No : 022 - 24172100 E-mail : [bby@inanisec.in](mailto:bby@inanisec.in)

### **Kandivilli Branch**

C-104, Raj Heights Bldg, Near Vora Colony, M.G. Road,  
Kandivali (W), Mumbai - 400067. Tel No : 022 - 28010100.

[www.inanisec.in](http://www.inanisec.in)